

Funding Schemes (Instruments)

H2020 Focused Group Training

"Legal and Financial Issues"

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The H2020 Structure – 3 Pillars

24%

Leadership in Enabling and Industrial Technologies – LEIT

ICT
Nanotechnologies
Advanced materials
Biotechnology
Advanced Manufacturing & Processing
Space
The SME Instrument

33%

Excellent Science

ERC
FET
Marie Curie
Research Infrastructures

43%

Societal Challenges

Health and wellbeing
Food
Energy
Transport
Environment
Reflective societies
Secure societies

Spreading excellence and widening participation

Science with and for society

Types of actions supported by grants

Collaborative Research Actions

- **Research and Innovation Actions**
- **Innovation Actions**
- **Coordination and Support Actions**
- **SME instrument (Phase 2)**
- ERANET Co-fund
- Pre-commercial procurement Co-fund
- Public procurement of innovative solutions Co-fund

Research and innovation actions

- Actions primarily consisting of activities aiming to establish new knowledge and/or to explore the feasibility of a new or improved technology, product, process, service or solution.
- For this purpose they may include basic and applied research, technology development and integration, testing and validation on a small-scale prototype in a laboratory or simulated environment.

Innovation actions

- Actions primarily consisting of activities directly aiming at producing plans and arrangements or designs for new, altered or improved products, processes or services. Often specific TRL levels are required as a starting point.
- For this purpose they may include prototyping, testing, demonstrating, piloting, large-scale product validation and market replication.

Coordination and support actions

Actions consisting primarily of **accompanying measures** such as standardisation, dissemination, awareness-raising and communication, networking, coordination or support services, policy dialogues and mutual learning exercises and studies, including design studies for new infrastructure and may also include complementary activities of networking and coordination between programmes in different countries.

Specific opportunities for SMEs

A specific SME instrument comes under the H2020 objective of 'smart, sustainable and inclusive growth', however with a small budget!



SME Instrument: The Three Project Phases

Around € 3 billion funding



As of November 2018, the SME Instrument and Fast Track to Innovation (FTI) will become the central part of the European Innovation Council (EIC) pilot.

- Full bottom-up selection approach – the removal of pre-defined topics as foreseen in previous Work Programmes will allow entrepreneurs from all sectors of the economy to apply with their market-creating innovations.
- Overhaul of the submission forms – the structure and content of these forms have been adapted in order to simplify the submission process for these entrepreneurs and adopt a business-oriented language that is more adapted to their reality.

- Pool of expert-evaluators – the number of expert-evaluators in the pool with an investor profile has been significantly increased in order to better consider market and investment related elements in the evaluation.
- Face-to-face interviews with selected Phase 2 applicants – the introduction of this additional step after the remote evaluation will give expert-evaluators a better grasp of the proposals and make sure that only the best and most disruptive innovation ideas pass the cut.

The interview will take place in English and last no longer than 30 minutes including:

- 10 minutes (maximum) of presentation supported by a pitch document (slide format in pdf) of maximum 10 pages. A template will be attached to the invitation letter;
- 20 minutes of questions and answers to clarify aspects of the proposal, including the commercialisation strategy, the team/company, the technological feasibility, the projected results and the market creating potential. There will be no pre-set questions, the jury may ask any question related to the proposal.

Interview weeks in 2018:

- interview week for 1st cut-off date: February 12-16;
- interview week for 2nd cut-off date: April 16-20;
- interview week for 3rd cut-off date: June 25-29;
- interview week for 4th cut-off date: November 12-16.

Expenses related to your participation in the interview (e.g. travel, accommodation) will not be reimbursed!

Simplified Funding Model

One reimbursement rate by action

The same rate for all beneficiaries and all activities:

- Up to 100% for Research and Innovation actions
- Up to 70% for innovation (non-profit entities up to 100%)
- Up to 70% for PCP co-fund, 33% for ERANET co-fund, 20% for PPI co-fund
- Lump sum (50 kEuro) for SMEinstr Ph.1

Simplified Funding Model

A single method for calculation of indirect costs:

- Flat rate of 25% of total direct costs, excluding subcontracting, costs of third parties and financial support to third parties

Eligible costs

Main cost categories:

- Personnel costs
- Costs of subcontracting
- Other direct costs
 - Travel costs and subsistence allowances
 - Depreciation costs of equipment
 - Costs of other goods and services (including non-deductible VAT)

Rules of Participation

Minimum conditions

- For standard collaborative actions
 - At least, 3 legal entities, each established in different MS/AC
- For SME Instrument, programme co-fund, CSA
 - 1 legal entity established in a MS/AC

Additional conditions

- To be set out in the Work Programme (i.e. number of participants, type of participants, etc.)