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finanse edilmektedir



Technical Assistance for Turkey in Horizon 2020 Phase-II  
EuropeAid/139098/IH/SER/TR

# Rules for Participation

## European Green Deal in H2020

General Training Webinar  
*Odysseas Spyroglou, KE2*

Online, 27 Aug 2020

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REPUBLIC OF TURKEY  
MINISTRY OF INDUSTRY  
AND TECHNOLOGY



COMPETITIVE  
SECTORS  
PROGRAMME



TÜBİTAK

# Types of actions: what can you do?

TYPE	WHAT	WHO	RATE
<b>Research and innovation actions</b>	Research projects tackling clearly defined challenges. May lead to the development of new knowledge or a new technology.	Consortia	100% of eligible costs
<b>Innovation Actions</b>	Closer-to-the-market activities: prototyping, testing, demonstrating, piloting, scaling-up etc. New or improved <b>products</b> or <b>services</b> .	Consortia	70% of eligible costs (100% for non-profit legal entities)
<b>Coordination and support actions</b>	Funding covers the coordination and networking of research and innovation projects, programmes and policies. Funding for research and innovation per se is covered elsewhere.	Single entities or consortia	100%
<b>EIC Accelerator (SME Instrument)</b>	Innovative, high-flying small or medium-sized business with European and global ambitions	Single Entity	70%
<b>EIC Pathfinder</b>	Collaborative, inter-disciplinary research and innovation on science-inspired and radically new future technologies.	Consortia	100%
<b>Fast Track to Innovation (FTI)</b>	Quick market uptake of new technologies	Consortia (3-5)	70% of eligible costs (100% for non-profit legal entities)

# Eligibility: who can participate?

**Any legal entity** may participate in the Horizon 2020 Programme provided:

- **At least 3 legal entities** established in **3 different Member states** or **associated countries** shall participate in action;
- The 3 legal entities shall be **independent** of each other;
- Participating legal entities from other countries may also be able to get EU funding **in certain circumstances**.

**For specific actions:** European Research Council (**ERC**), European Innovation Council Accelerator (**EIC**), programme co-fund actions and specific calls:

- **One legal entity**

# Funding Rates

- **Direct eligible:** Single funding rate for all beneficiaries and all activities in the research grants:
  - **100% of all eligible costs** for all RIA (Research and Innovation).
  - **70% of eligible costs** for IA (100% for non-profit)
- **Indirect eligible costs** (e.g. administration, communication and infrastructures costs, office supplies) are reimbursed with a **25% flat rate** of the direct eligible costs.

# Costs and eligibility

- A grant is not the lottery.  
You may need to contribute to the budget.
- All costs by partners.
- Only "Eligible" Costs.
- Costs have to be:
  - Actual
  - Real (not estimated)
  - Borne by beneficiary
  - During project duration
  - Estimated in the budget
  - identifiable and verifiable
  - Compliant with national laws on taxes, labour and social security
  - Reasonable & justified

# Understanding the Costs

ELIGIBLE COSTS	INELIGIBLE COSTS
<p><b>DIRECT</b> (Actual)</p> <ul style="list-style-type: none"> <li>• <b>Personnel costs</b> (incl. employer's costs)</li> <li>• Travel</li> <li>• Equipment (depreciation rules apply)</li> <li>• Goods, works and services</li> <li>• Subcontracting</li> </ul> <p><b>INDIRECT</b> (25% on all Direct Exp. Subcontracting)</p> <ul style="list-style-type: none"> <li>• Overhead</li> </ul>	<ul style="list-style-type: none"> <li>• <b>Deductible VAT</b> (Note: non deductible/non identifiable VAT is eligible)</li> <li>• Losses due to fluctuation of exchange rates</li> <li>• Interest owed</li> <li>• Provisions for future losses or debts</li> </ul>

# Direct Personnel Costs Categories

- Employee costs (or equivalent)
  - basic remuneration = basic salary (*incl. Salary, social Security, Taxes, other*) + complements (*13th Salary, night shifts, hazardous work complement, variable*)
  - for non-profit legal entities: additional remuneration ('bonus payments')
- natural persons working under a direct contract
- personnel seconded by a third party
- 'beneficiaries that are SMEs for their owners (or natural persons) not receiving a salary'

# Travel

- Transportation
  - Number of Trips
  - Destinations
  - Duration of travels
  - Number of people traveling
- Flat Subsistence Allowance
  - *eligible if in line with beneficiary's usual practices on travel*

See: [http://ec.europa.eu/research/participants/data/ref/fp7/89566/flat-rates-subsistence\\_en.pdf](http://ec.europa.eu/research/participants/data/ref/fp7/89566/flat-rates-subsistence_en.pdf)



# Equipment

- Always verify specific rules
- Depreciation (not full cost)
- According to National Legislation

## *Example:*

- Every item has an economic life (e.g. 4 years according to National Legislation in Greece)
- After 4 years its value = 0
- Losing 25% of its value every year
- If the project lasts 4 years you can charge the full cost

# Other Costs

- Short Consultancies
- Catering
- Printing services
- Etc.

*Some times these can go to subcontracting*

**ALL MUST BE DETAILED AND JUSTIFIED**

# Subcontracting

- Always check specific rules
- Business Conditions
- Only small amount  
( $<15-20\%$  of the partner's budget)
- Avoid it if you can

## ANNEX 1:

Description of Tasks, Costs Estimation, Need justification

## ANNEX 2: Estimated Costs

EXAMPLES: *Contract for part of the research //*  
*Contract for Test and analysis of a new product*

# Indirect Costs (Overheads)

- Necessary for every functioning organisation
- Connected to productive personnel
  - Utilities
  - Office costs
  - Communications
- How to determine the % ?
  - FLAT 25% of ALL Direct costs (*except Subcontracting*)
- They do NOT have to be justified

# Budget Flexibility

- At reporting costs may differ from estimated eligible costs.
- Budget Transfer between Beneficiaries or between budget categories without Amendment

*If incurred costs < estimated, difference to another partner or budget category.*

*If significant change in planned work, amendment is needed*

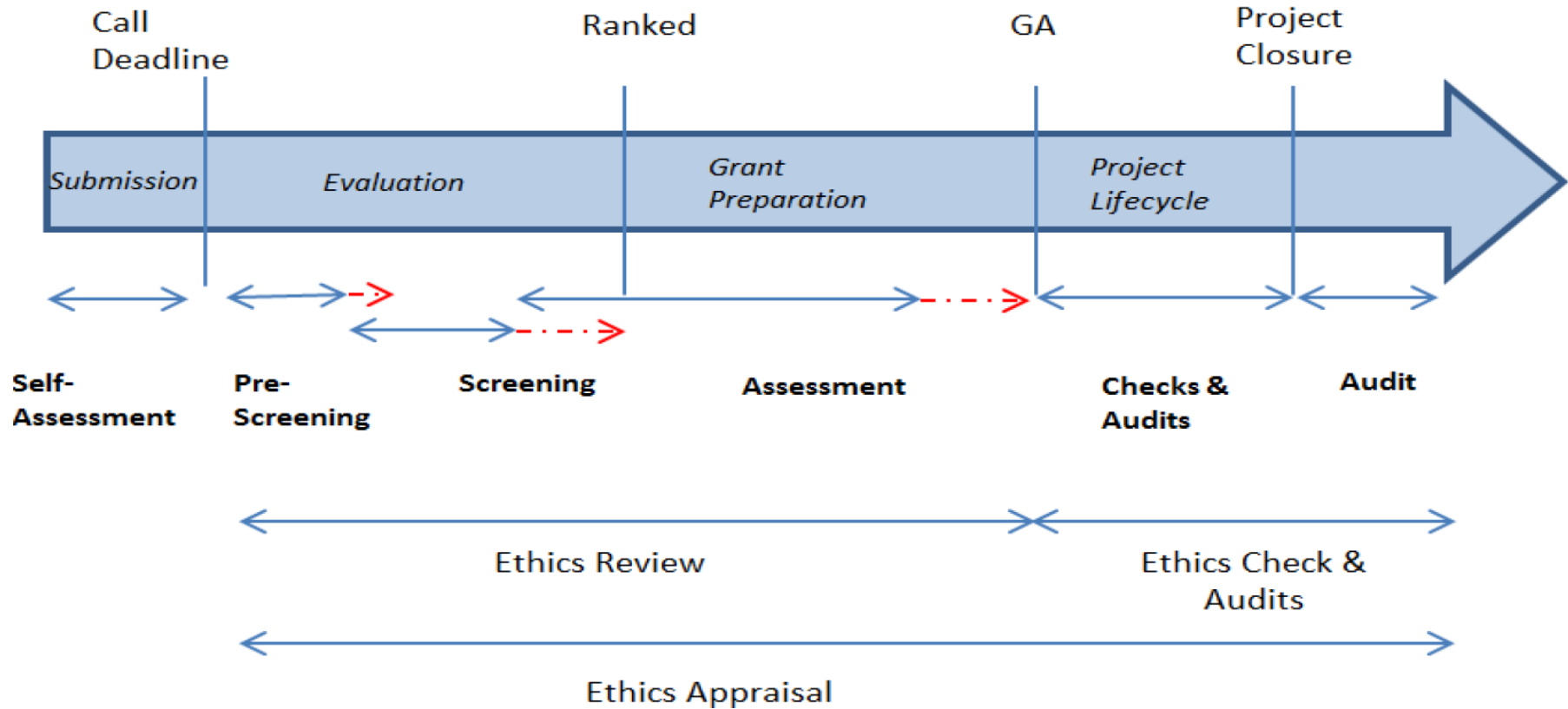
# Phases of Budget Building

1. Define the total cost
2. Partner distribution draft
3. Prepare WBS and PM / WP
4. Determine Personnel Costs
5. Define Other Costs
6. Circulate budget to partners
7. Negotiate and finalise

# Why is it important ?

- Wrong assumptions lead to problems
- Poor planning creates uncertainty
- Practical Example
- <https://th2020.zendesk.com/hc/en-us/articles/209317309-H2020-Budget-Preparation>

# Proposal to Project timeline





# Evaluation Process: What happens after you submit?

- EC checks proposal for **eligibility**

*(General Annexes A and C to the work programme and specific eligibility conditions set out in the work programme for your call).*

- EC chooses its **experts/revaluators**
- Experts **evaluates** your proposal
- EC establishes its **ranked list**

# EC Chooses its experts

- At least **three independent experts** per proposal (but can be more depending on WP).
- *Exception: For the first stage in two-stage submission schemes and for low-value grants, it may be that only two experts are used.*
- Additional experts appointed for **ethics review**.
- The evaluation process might be followed by one or more **independent observers**.

# How are experts selected?

- **Keywords specified in your proposal.**
- High level of **skills, experience and knowledge in the relevant areas** (*e.g. field, project management, innovation, exploitation, dissemination and communication*);
- **Balance in terms of:**
  - skills, experience and knowledge;
  - geographical diversity;
  - gender;
  - where appropriate, the private and public sectors

# How are proposals evaluated?

Criteria	
Excellence	<ul style="list-style-type: none"> <li>• Clarity and Pertinence of the Objectives</li> <li>• Credibility of the proposed approach</li> <li>• Soundness of the Concept</li> <li>• Ambition and State of the Art.</li> </ul>
Impact	<ul style="list-style-type: none"> <li>• The expected impacts listed in the work plan</li> <li>• Enhancing Innovation Capacity</li> <li>• Strengthening Competitiveness</li> <li>• Effectiveness of the proposed measures to exploit, disseminate etc.</li> </ul>
Implementation	<ul style="list-style-type: none"> <li>• Coherence and Effectiveness of the Work plan</li> <li>• Complementarity</li> <li>• Appropriateness of Structures</li> </ul>

# Scoring/weights/thresholds

- **Each criterion** scored out of **5** (max 15)
- **Proposal threshold** of 10/**12** (out of 15)
- **Individual criterion** threshold of **3**.
  
- **Innovation Actions, EIC:**
  - impact criterion weighted by factor of 1.5
  - Impact considered first when scores equal

# Time to grant



- **SUBMISSION TO NOTIFICATION:** for informing all applicants of the outcome of the scientific evaluation of their application, a maximum period of **5 months** from the final date for submission of complete proposals;
- **NOTIFICATION TO GRANT AGREEMENT:** signing grant agreements with applicants or notifying grant decisions to them, a maximum period of **3 months** from the date of informing applicants they have been successful.



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Teşekkür ederim!

Thank you!



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