Horizon Europe Calls & Proposal Documents

General & Introductory Training in Horizon Europe (GIT5):

HE Digital, Industry (Cluster 4)

Odysseas Spyroglou, KE2

Online, 8 April 2021

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Meet the instructor

Odysseas Spyroglou
Key Expert 2. Legal, Financial & IPR

- **Innovation consultant** with **engineering**, **ICT** and **financial** background.

- Over **20 years of working experience** in EU funded projects: preparing proposals, building consortia and managing projects under FP7, CIP, COSME, INTERREG, MED, H2020 and more.

- Specialise in **Project Management** & **Quality**, **Intellectual Property** and reengineering **business processes**.

- Designed and delivered more than **300 training sessions on Innovation** Management, IPR, Entrepreneurship, Proposal Writing, Project Management, financial administration.

linkedin.com/in/ospyroglou

20+ Years

60+ Projects

90m+ Funds

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COMPETITIVE
SECTORS
PROGRAMME

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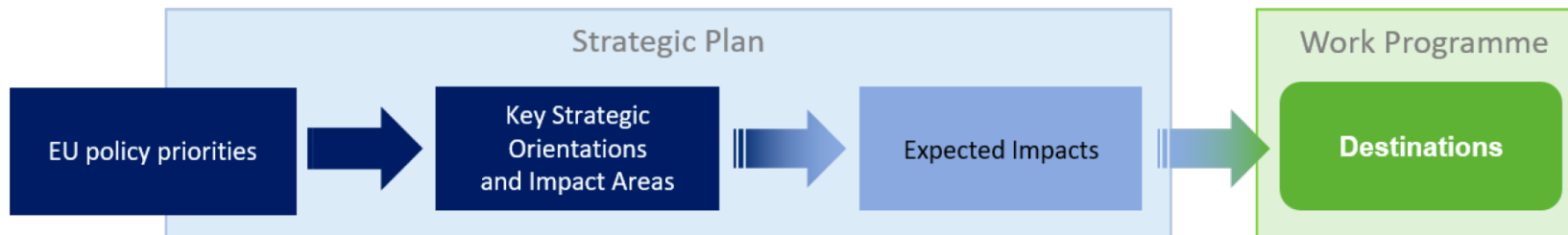**#GIT5**

What we will cover
From Policy to Action: Understand the process

- Work Programme 2021-22 & Call Documents

- Admissibility & Eligibility

- Financial & Operational capacity

- Award criteria & Procedure

- Proposal Template

- Model Grant Agreement

Horizon Priorities for 2021-24

From EU priorities to Work Programme Destinations

Strategic Plan

EU policy priorities → Key Strategic Orientations and Impact Areas → Expected Impacts → **Work Programme: Destinations**

6	4	32	3	6	34
Priorities of EU	Key Strategic Orientations	Expected Impacts	Pillars	Clusters	Destinations

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COMPETITIVE SECTORS PROGRAMME
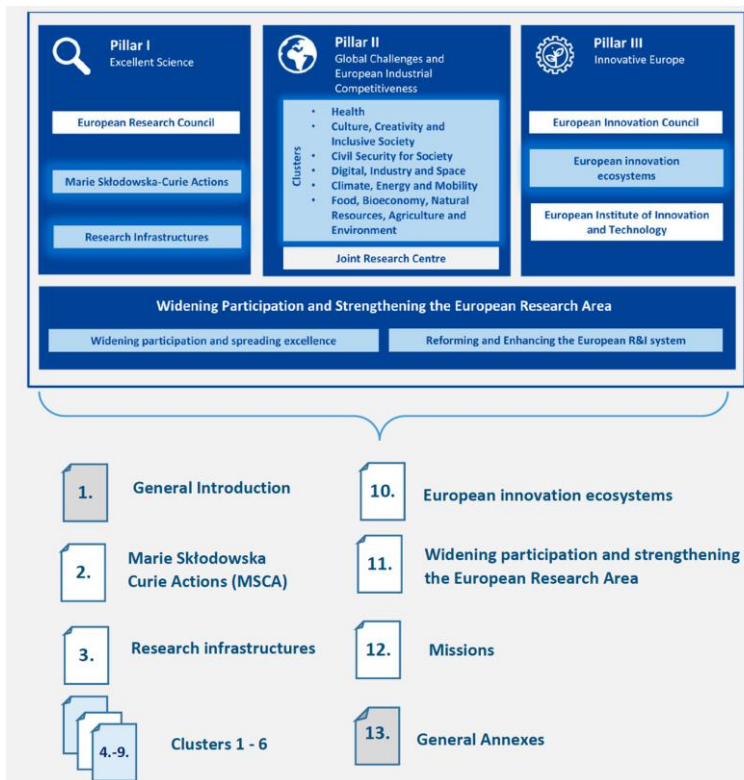
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HE Control Check

Horizon Europe Work Programmes
How an HE Work Programme is structured

Work Programme 2021-22

- General Intro
- MSCA
- RI
- 6 Clusters
- EIC
- WIDENING

Supporting Documents :

- Strategic Plan 2021-24
- Work Programme 21-22
- Proposal Template
- Model Grant Agreement (MGA)

General Conditions for Participation
General Annexes

- **admissibility** and **eligibility**, **financial and operational capacity and exclusion** (Annexes A-C)
- **award** criteria, mandatory documents and **evaluation procedure** (Annexes D-F)
- **legal** and **financial set-up** of GA (Annex G)
- **specific conditions** (PCP, or Innovation Procurement (Annex H)

Admissibility

Annex A: What you need to submit

- Before **deadline**
- Use the **form** and submit through the **online** system
- Readable, accessible, printable
- Include a **plan for the exploitation and dissemination of the results (PEDR)**
- Respect the page limits

PAGES	45	30	70	10
	IA, RIA	CSA	CO-FUND	STAGE 1

Eligibility

Annex B: Can you participate?

- **Any Legal Entity**
- Registered to the Participants Register (PIC)

- Affiliated Entities
- Associated Partners
- Entities without Legal Personality
- EU Bodies
- Restrictions on participation my apply.

Eligibility

Partners must be established in:

- EU Member States

- Overseas Countries and Territories (OCTs) linked to the Member States

- Countries associated to Horizon Europe

- Low and middle-income countries

Eligibility
Annex B: Consortia

How many partners?

- 1 independent legal entity established in a MS +
- 2 other independent legal entities each established either in a **different** MS or an AC.

Eligibility

Annex B: Eligible Activities

- Exclusive focus on Civil Applications
- No human cloning, no modification of genetic heritage
- In compliance with EU policies (Environmental, Social, Security, etc.)

Eligibility
Annex B: Types of Actions

Research and innovation action (RIA)

Activities to establish new knowledge or to explore the feasibility of a new or improved technology, product, process, service or solution.

Innovation action (IA)

Activities to produce plans and arrangements or designs for new, altered or improved products, processes or services.

Coordination and support actions (CSA)

Activities that contribute to the objectives of Horizon Europe. This excludes R&I activities, except for 'Widening participation and spreading excellence'

Programme co-fund actions (CoFund)

A programme of activities established or implemented by legal entities managing or funding R&I programmes, other than EU funding bodies.

Innovation and market deployment actions (IMDA)

Activities that embed an innovation action and other activities necessary to deploy an innovation on the market. (EIC)

Training and mobility actions (TMA)

Activities that aim to improve the skills, knowledge and career prospects of researchers, based on mobility between countries and, if relevant, between sectors or disciplines. (MSCA)

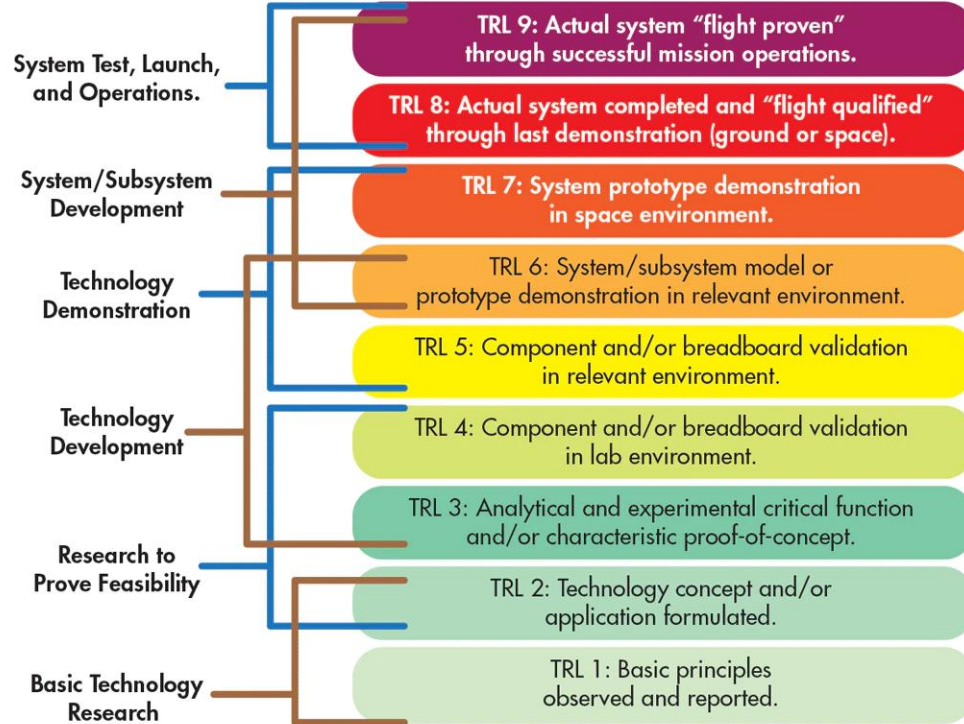**Pre-commercial procurement actions/ (PCP)**

Activities that aim to help a buyers' group to strengthen the public procurement of research, development, validation and, possibly, the first deployment of new solutions

Public procurement of innovative solutions actions (PPI)

Activities that aim to strengthen the ability of a buyers' group to deploy innovative solutions early

TRL: Technology Readiness

The Technology Readiness Levels

System Test, Launch, and Operations.

TRL 9: Actual system "flight proven" through successful mission operations.

TRL 8: Actual system completed and "flight qualified" through last demonstration (ground or space).

System/Subsystem Development

TRL 7: System prototype demonstration in space environment.

Technology Demonstration

TRL 6: System/subsystem model or prototype demonstration in relevant environment.

TRL 5: Component and/or breadboard validation in relevant environment.

Technology Development

TRL 4: Component and/or breadboard validation in lab environment.

TRL 3: Analytical and experimental critical function and/or characteristic proof-of-concept.

Research to Prove Feasibility

TRL 2: Technology concept and/or application formulated.

Basic Technology Research

TRL 1: Basic principles observed and reported.

Ethics and Integrity

Cross Cutting key specific Issues


Ethics and integrity

- European Code of Conduct for Research Integrity

- Ethics Principles & legislation

- Ethical dimension of new technologies (AI, Environment)

- HE to develop training, educational material, Operating procedures

- Ethics & Privacy by design

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Gender Equality & Inclusiveness
Cross Cutting key specific Issues
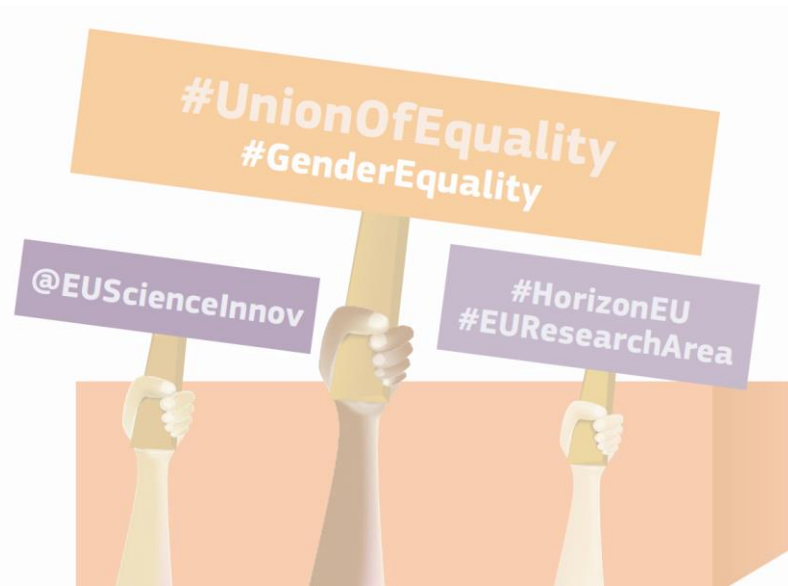
- Eliminate gender inequality
- Addressing unconscious bias, systemic barriers
- Integration of gender dimension
- Part of EC's Gender Equality Strategy 2020-25

Financial Capacity

Annex C: Can you financially support the project?

- Do applicants have sufficient financial resources?
- Check before GA (P&L, Balance Sheet, audit, BP)
- Usually only for Coordinators > 500K

Operational Capacity
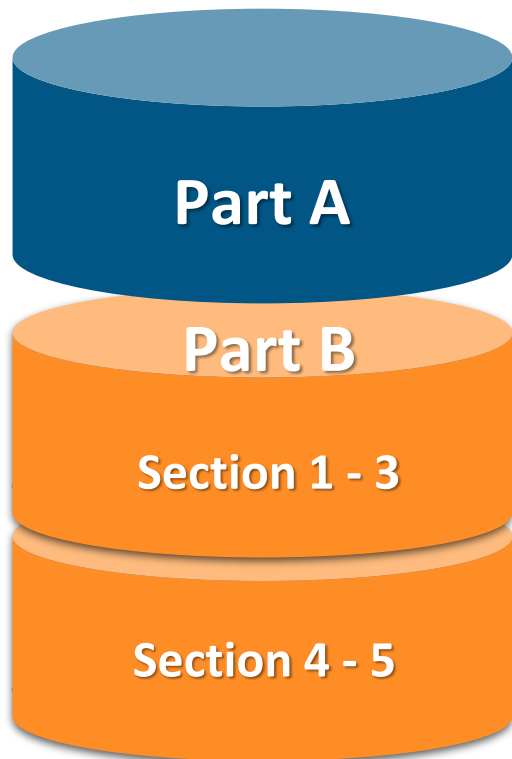## Annex C: Do you have the know-how & resources?

In PART B:
- general profiles (qualifications and experiences) of staff
- consortium participants,
- EU funded actions/projects for the last 4 years.

What a proposal consists of?
All components of a proposal

Part A

- General information
- Participants and Contacts
- Budget (per partner)
- Ethics issues
- Call specific questions

Part B

Section 1 - 3

- Table of participants
- Proposal abstract
- Abbreviations
- Table of contents

1 – Excellence
2 – Impact
3 – Implementation

Section 4 - 5

4 – Members of the consortium
5 – Ethics and Security

Award Criteria
How Proposals are evaluated

| EXCELLENCE	IMPACT	IMPLEMENTATION
• Are objectives clearly identified? • Is proposed work is ambitious? • Goes beyond the state-of-the-art? • Is proposal sound?	• Is the pathway suggested credible? • Are outcome and impacts in line with WP? • What's the scale of the contributions? • Are measures to maximise impact suitable?	• Is the WP effective? • Are risks identified? • Effort assigned appropriate? • Capacity and role of participants ? • Consortium as a whole?

Evaluation Process
From submission to invitation to a Grant
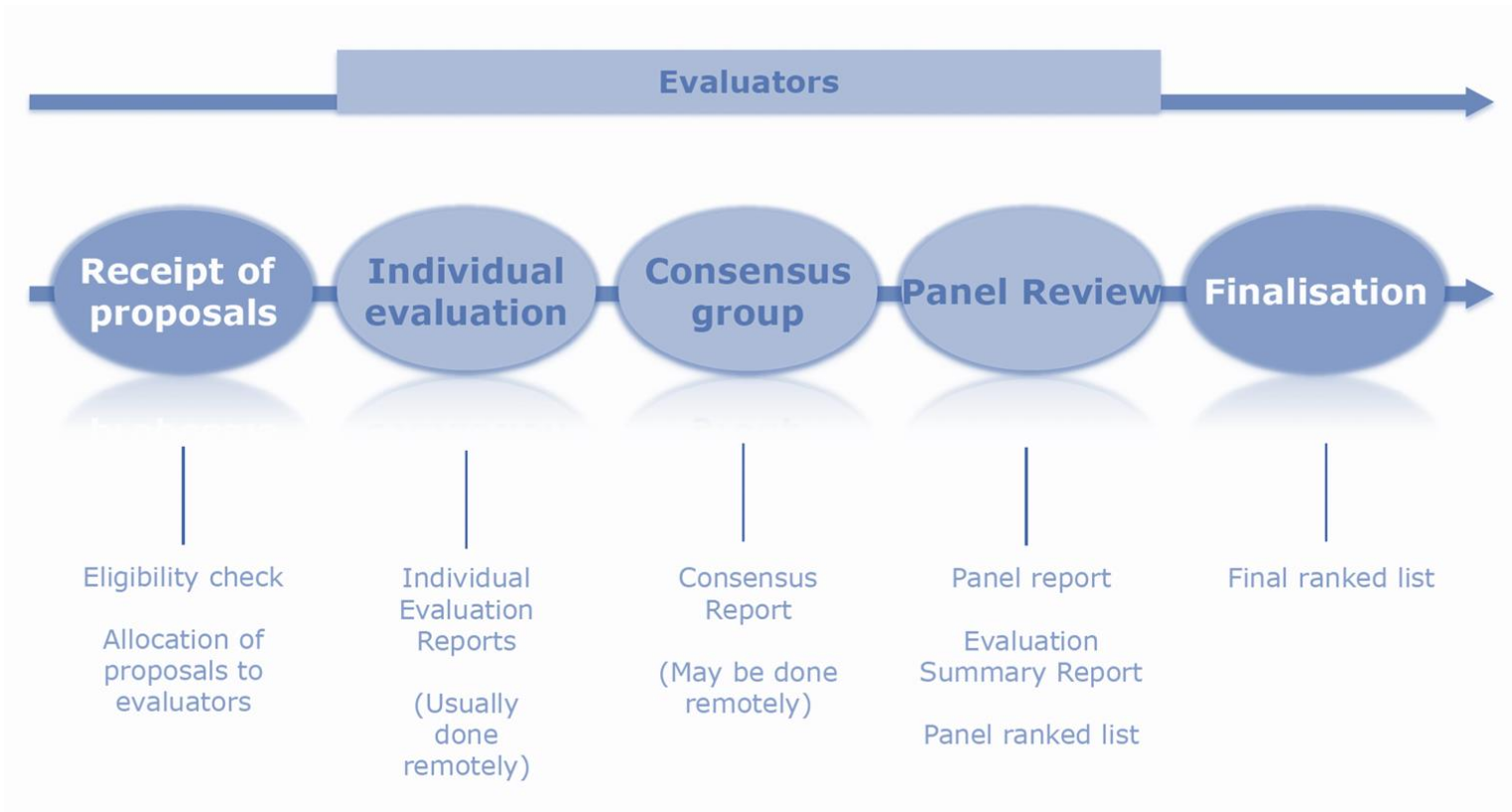
How evaluation works?
The evaluation timeline
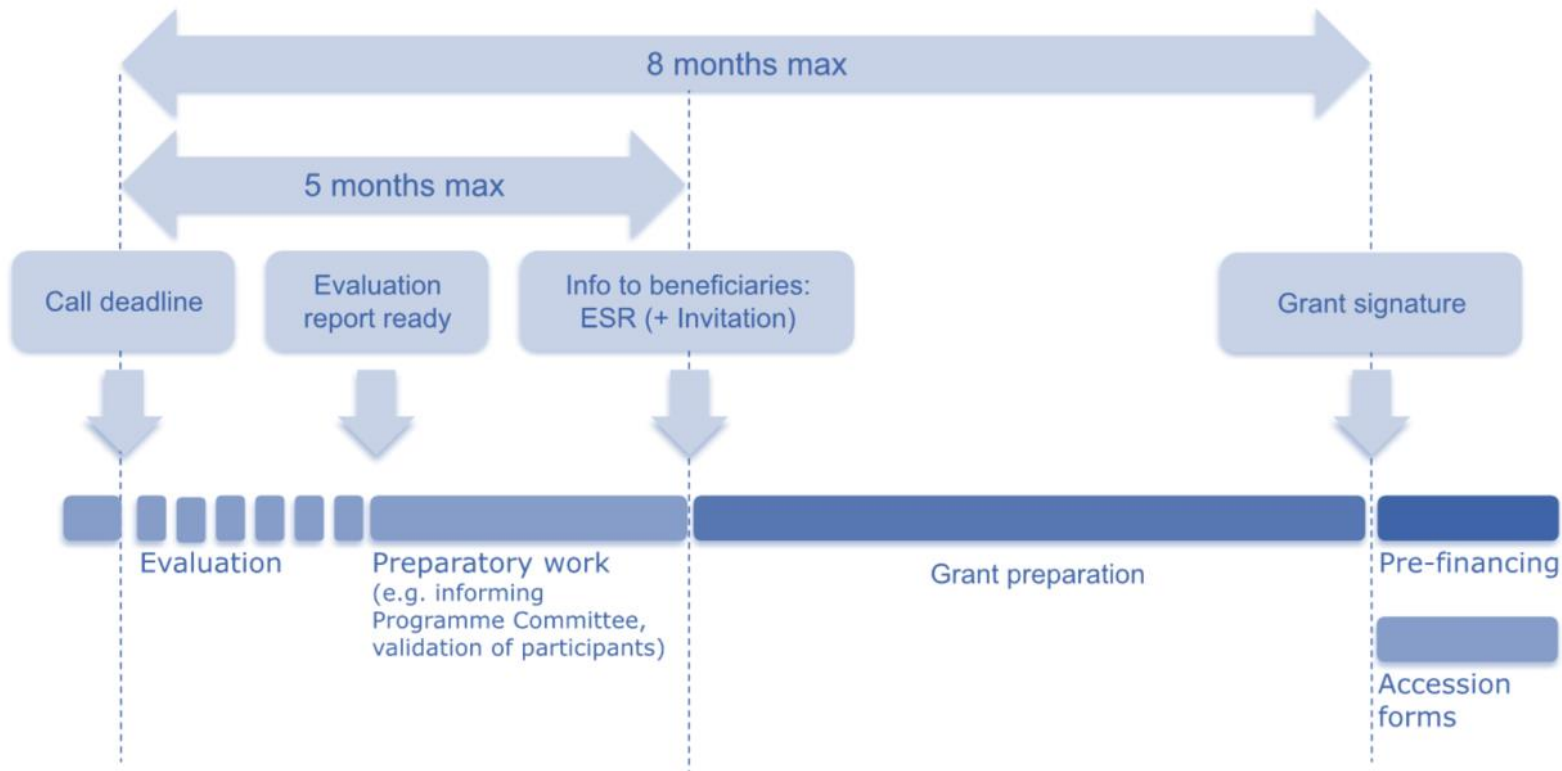
Wrap it up
An overview of General conditions

- Work Programme 2021-22

- Participation

- Eligibility

- Types of Actions

- Ethics,

- Gender Issues

- Operational Capacity

- Evaluation process

- Proposal Structure

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Q&A

Time to ask your questions!

Teşekkür ederim!

Thank you!

Contact:

Office Address

Turkey in Horizon 2020 Project

And Sokak 8/12 Akasya Apt. 06680 Çankaya/Ankara

06520 Çankaya/Ankara,Turkey

Tel: +90 312 467 61 40

http://www.turkeyinh2020.eu/

info@TurkeyinH2020.eu