



This project is co-financed by the
European Union and the Republic of Turkey

Bu proje Avrupa Birliği ve Türkiye Cumhuriyeti tarafından
finanse edilmektedir



TURKEYⁱⁿ
HORIZON 2020
COOPERATION. INNOVATION. COMPETITIVENESS

Technical Assistance for Turkey in Horizon 2020 Phase-II
EuropeAid/139098/IH/SER/TR

HE Financial & Legal Provisions

HE Model Grant Agreement

General Training Webinar
Odyseas Spyroglou, KE2

Online, 20 Nov 2020

Photo by [RawFilm](#) on [Unsplash](#)

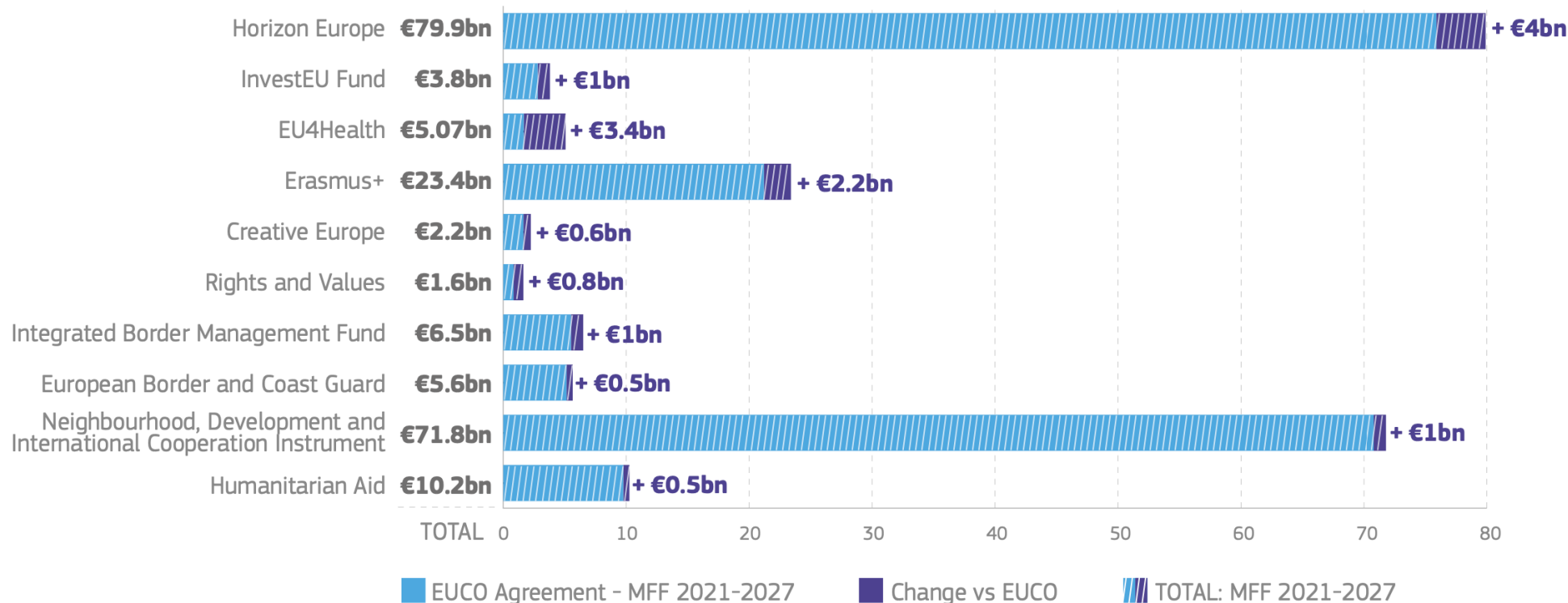


REPUBLIC OF TURKEY
MINISTRY OF INDUSTRY
AND TECHNOLOGY



Where we stand

NOV. 11: EU's Next Long Term Budget (Multiannual Financial Framework) just approved!



All amounts in EUR billion, 2018 prices.
Source: European Commission.

Horizon Europe Regulation: Main Points



Brussels, 29 September 2020
(OR. en)

11251/1/20
REV 1

Interinstitutional File:
2018/0224(COD)

RECH 332
COMPET 435
IND 156
MI 368
EDUC 331
TELECOM 163
ENER 318
ENV 550
REGIO 238
AGRI 294
TRANS 423
SAN 329
CADREFIN 283
CODEC 901
SUSTDEV 122

OUTCOME OF PROCEEDINGS

From:	General Secretariat of the Council
To:	Delegations
No. prev. doc.:	10948/20
No. Cion doc.:	9865/18 + ADD 1
Subject:	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing Horizon Europe - the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination - General Approach

Delegations will find attached the text of the full general approach on of the proposal for a Regulation of the European Parliament and the Council establishing Horizon Europe - the Framework Programme for Research and Innovation laying down its rules for participation and dissemination, as agreed by the Council (Competitiveness) at its meeting on 29 September 2020.

- **Administrative simplification /** reduction of admin burden.
- **Encouraged synergies** among HE & other programmes.
- **Common Rules** across the HE for a coherent Framework.
- **Promoted participation of third countries** and Int Orgs.
- **Climate change** acknowledged as biggest global and societal challenge.
- **Wider acceptance of beneficiaries cost accounting practices** (as regards personnel costs and unit costs)
- Emphasis in **Exploitation and Dissemination** of Results
- **Better feedback from evaluators**, justified rejection.

Budget Tables in HE

No	Participant	Country	(A) Direct personnel costs/€	(B) Other direct costs/€	(C) Direct costs of sub- contracting/€	(D) Direct costs of providing financial support to third parties/€	(E) Costs of inkind contributions not used on the beneficiary's premises/€	(F) Indirect Costs / € (=0.25(A+B-E))	(G) Special unit costs covering direct & indirect costs / €	(H) Total estimated eligible costs / € (=A+B+C+D+F +G)	(I) Reimburse- ment rate (%)	(J) Max.EU Contribution / € (=H*I)	(K) Requested EU Contribution/ €
			?	?	?	?	?	?	?	?	?	?	?
1	Mui	AT	0	0	0	0	0	0,00	0	0,00	100	0,00	0,00
	Total		0	0	0	0	0	0,00	0	0,00		0,00	0,00



A. PERSONNEL COSTS	B. SUB-CONTRACTING COSTS	C. PURCHASE COSTS	D. OTHER COSTS	E. INDIRECT COSTS	TOTAL COSTS	EU CONTRIBUTION	MAXIMUM GRANT
				25% * (A + C + D)	T=A+B+C+D+E	RATE = 100 % or 70% EU CON = RATE% * T	EU CON

Budget Tables in HE: Main Costs

A. Personnel costs		B. Subcontracting costs	C. Purchase costs		
A.1 Employees (or equivalent)	A.4 SME owners and natural person beneficiaries		C.1 Travel and subsistence	C.2 Equipment	C.3 Other goods, works and services
A.2 Natural persons under direct contract					
A.3 Seconded persons					

Budget Tables: Other Costs

D. Other cost categories							
/D.1 Financial support to third parties /	/D.2 Internally invoiced goods and services /	/D.3 Trans-national access to research infrastructures /	/D.4 Virtual access to research infrastructures /	/D.5 PCP/PPI procurement costs/	D.6 European Partnership Cofund additional coordination and networking costs/	/D.7 Euratom Cofund staff mobility costs/	D.8 ERC additional funding /

D1. Financial Support to 3rd Parties

D2. Internally invoiced Goods and Services

D3. Transnational Access to Research Infrastructure

D.4 Virtual Access to RI

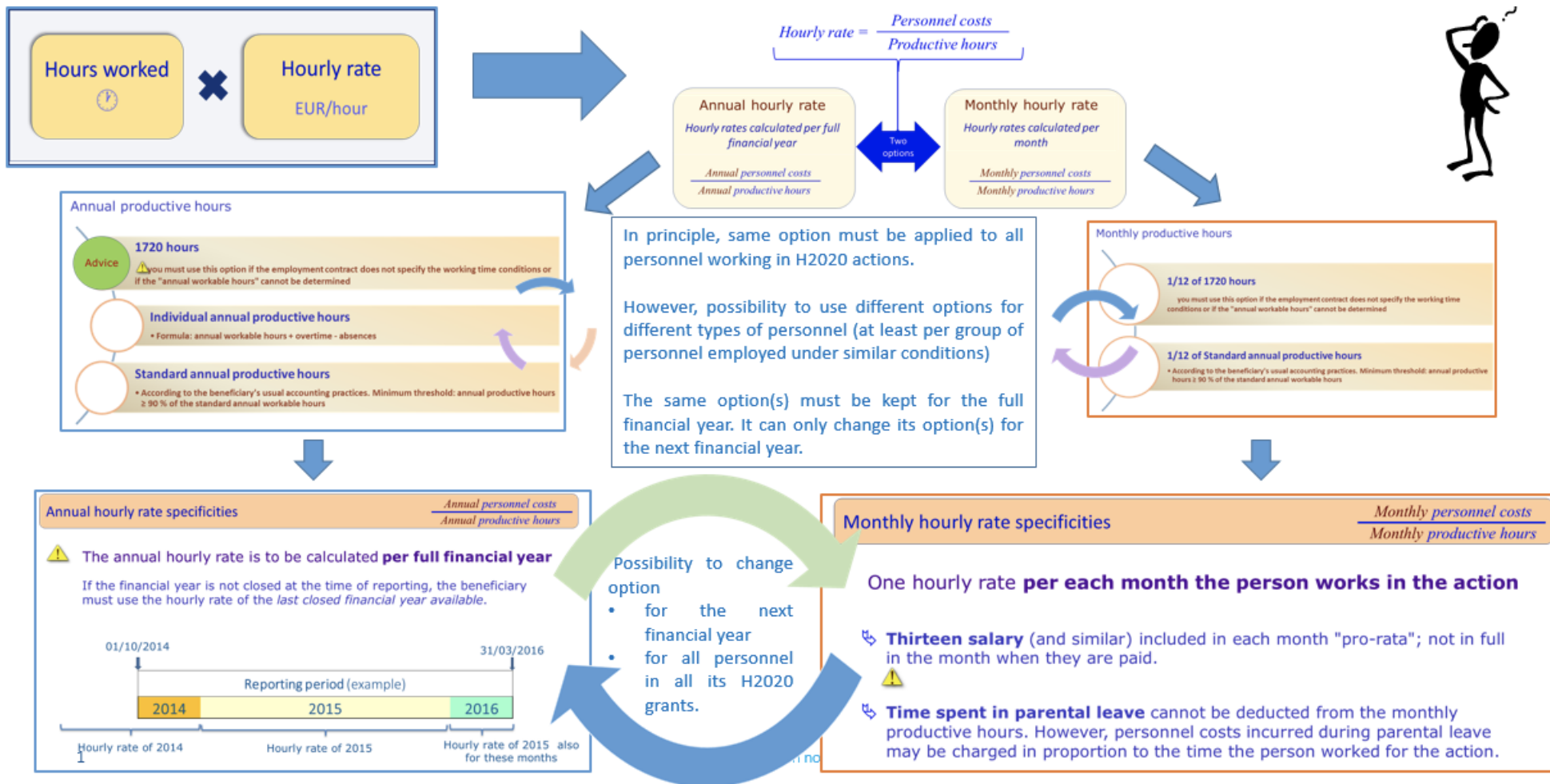
D.5 PCP/PPI procurement costs

D.6 EU Partnership Cofund additional Coordination/ networking

D.7 Euratom Cofund Staff

D.8 ERC Additional Funding

Horizon 2020: Personnel Costs (from hours)



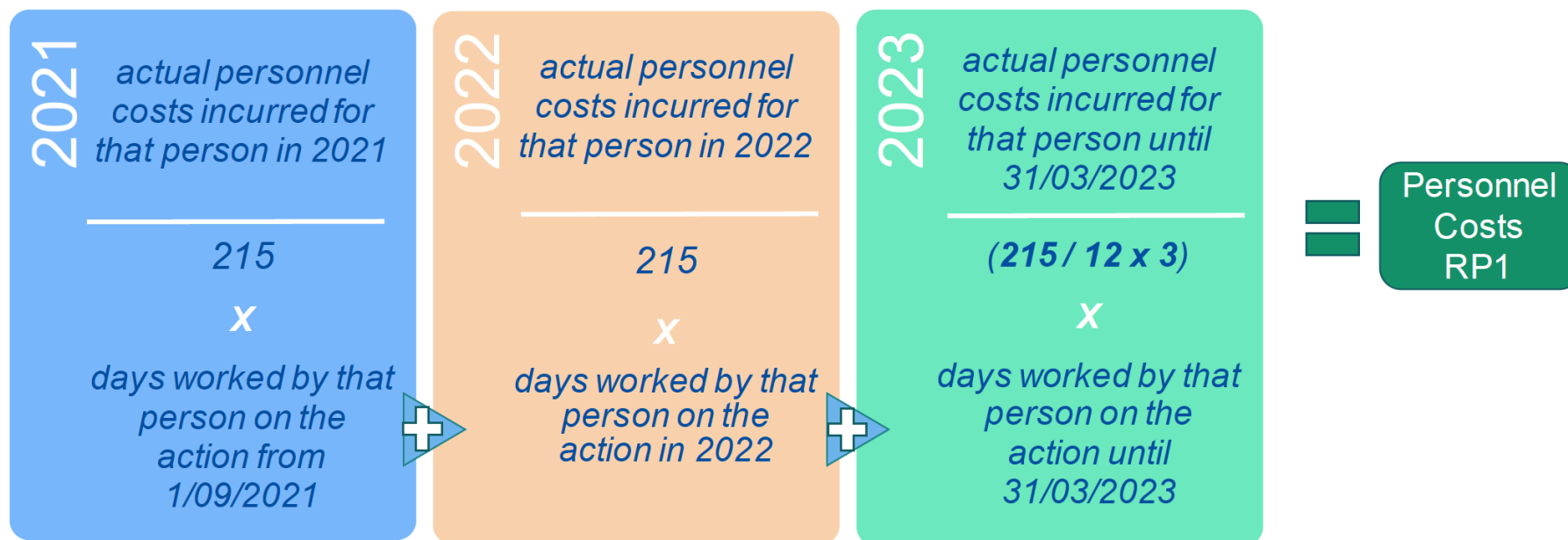
Horizon Europe: Daily Rate (to days)

$$\begin{aligned} \text{Personnel costs} &= \text{Daily rate} \times \text{Days worked in the project} \\ \text{Daily rate} &= \frac{\text{actual annual personnel costs for the person}}{215^*} \end{aligned}$$

- **For full years = Per calendar year (Jan - Dec)**
- **For years not full = Partial Daily Rate for only for months worked**
 - *{actual **personnel costs** of the person incurred over those months / {215/12 (months) x number of months from Jan – End of Project}}*

Horizon Europe: Daily Rate Example

Costs for Researcher Y in reporting period 1. Reporting period 1 runs from 1/09/2021 until 31/03/2023:



Project-based Remuneration in HE

- For employees earning more due to their participation in projects
Example: employee gets a bonus or a new contract with a higher salary for working in a project.
- National Projects Daily Rate = rate you would pay for work in national projects according to usual practices.

$$\begin{array}{l}
 \text{Action daily rate} = \frac{\text{actual personnel costs of the person for her/his work in the action over the calendar year}}{\text{days worked by the person in the action over the calendar year}} \\
 \text{Lower of the two} \\
 \text{National Projects daily rate} = \frac{\text{monthly personnel costs for the person based on the remuneration the person would be entitled to for work in national projects} \times 12}{215}
 \end{array}$$

- **Use Timesheets** (as in H2020)

OR

- **Sign a monthly declaration on**
Days spent in the action (template
still under development)

DAY EQUIVALENT = 8 hours **OR** based on full time daily hours on contract.

Internally Invoiced Goods & Services

Costs for Goods and Services

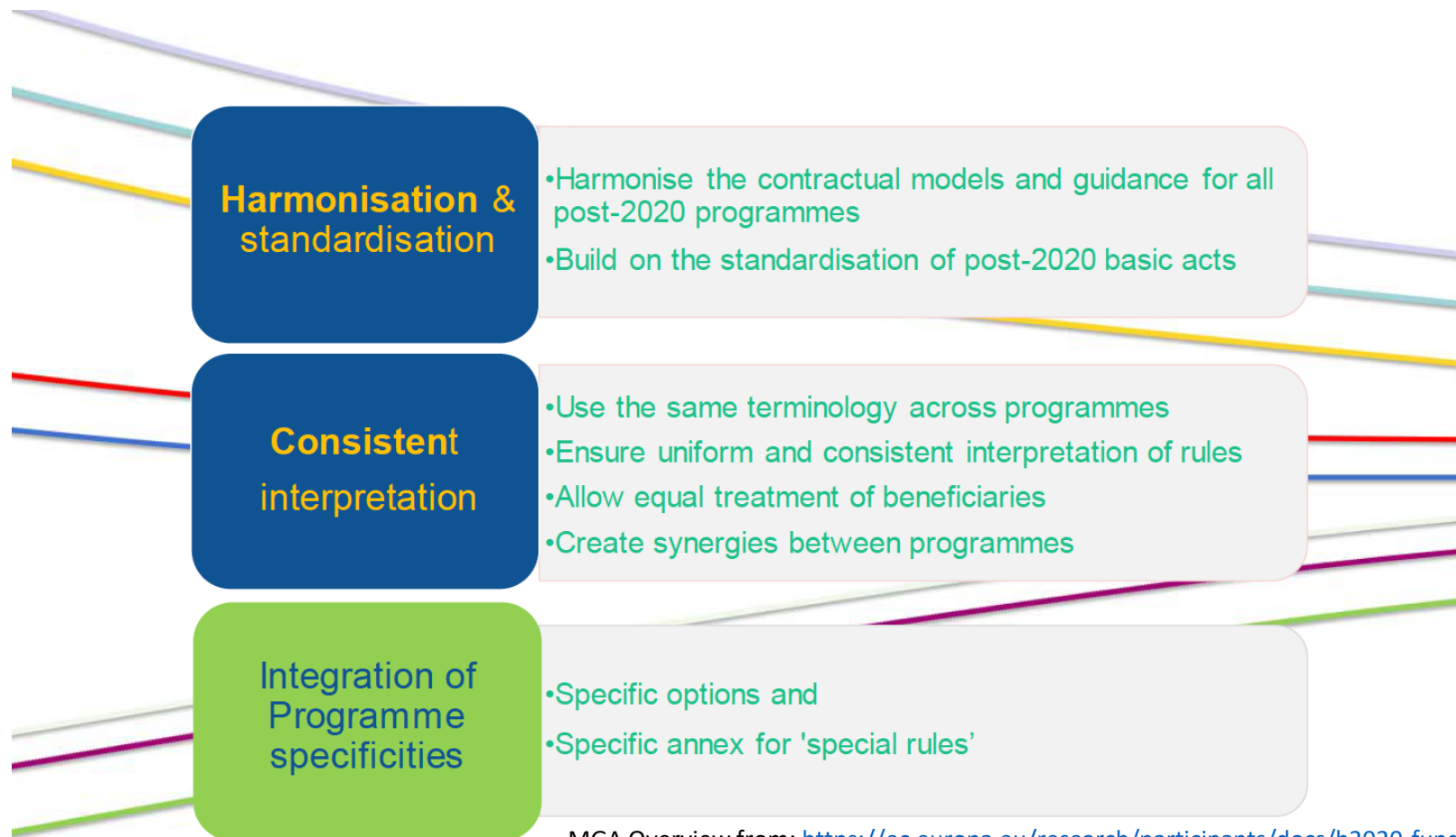
- Produced/provided within organisation (directly)
- Usual accounting practices of beneficiary
- Documented methodology
- Supporting Evidence
- No 25% flat rate on top of unit cost but actual indirect costs



Examples:

- *Self produced consumables (chemicals)*
- *Use of specific research devices or facilities (clean room, supercomputer)*

Horizon Europe Model Grant Agreement



MGA Overview from: <https://ec.europa.eu/research/participants/docs/h2020-funding-guide/other/event201009.htm#general-info>

HE MGA: Corporate Approach



- Simplified and harmonised
- Mandatory for all programmes
- Adaptable to specific rules
- Daily Rate approach fixed

[insert programme name (acronym)]

Corporate Model Grant Agreement

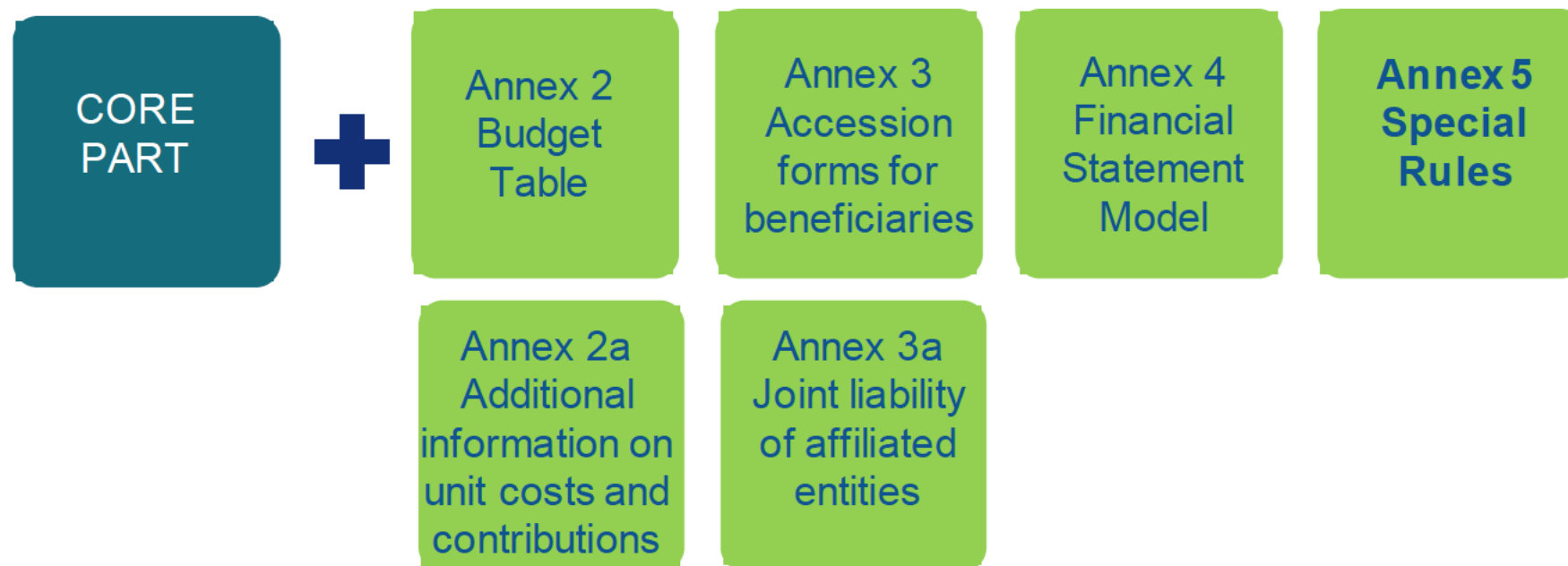
((Corporate MGA — Multi & Mono))

Version 1.0
14 May 2020

Disclaimer
This document is aimed at assisting applicants. It shows the full range of provisions that may be applied to this type of agreement, and is provided for information purposes only. The legally binding agreement will be that which is signed by the parties in the system.



HE MGA Structure



HE Structure: Annex 5

Annex 5 Special Rules

- **Security** (Article 13)
- **Ethics** (i.e. research integrity) (Article 14)
- **Values** (i.e. gender mainstreaming) (Article 14)
- **IPR** (Article 16)
- **Communication, Dissemination, Open Science and Visibility** (Article 17)
- **Specific rules for carrying out the action** (Article 18)
 - recruitment and working conditions,
 - specific rules for access to research infrastructure actions,
 - specific rules for PCP and PPI procurements,
 - specific rules for co-funded partnerships,
 - specific rules for ERC actions,
 - specific rules for EIT-KIC actions,
 - specific rules for MSCA actions
 - Specific rules for EIC actions

Disclaimer: Information not legally binding

Main Differences with H2020

more user-friendly structure and readability

- Data Sheet
- Common numbering
- Common labelling ('granting authority')
- Grouping of provisions for
 - Types of participants and their roles
 - Rules concerning project implementation
 - Payments and recoveries
 - Certificates (CFS, SPA)

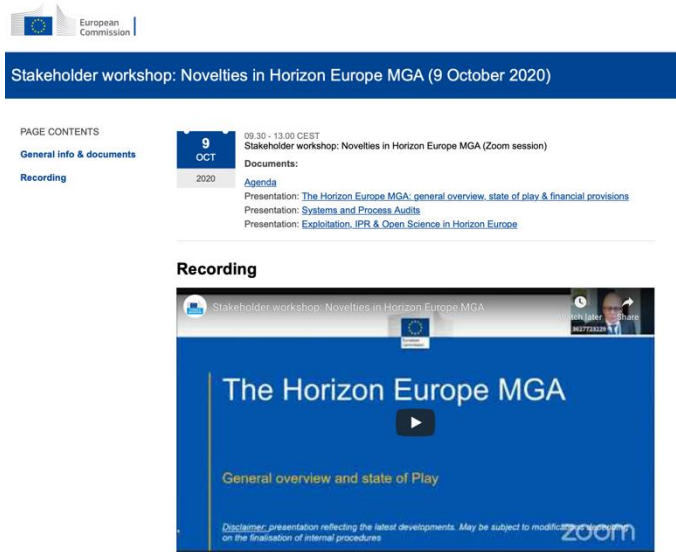
Improved content & features

- reporting explicitly divided into continuous and periodic reporting
- Amendment procedure for BEN termination, GA suspension + GA termination by consortium (instead of Formal Notification)
- less descriptive provisions (reference to published templates)

Alignment with new Financial Regulation (FR 2018)

- reduction/suspension/termination grounds
- Receipts (only for profit legal entities)

More information



- HEMGA Workshop

<https://ec.europa.eu/research/participants/docs/h2020-funding-guide/other/event201009.htm#general-info>

- EU Budget (Multiannual Financial Framework)

https://ec.europa.eu/info/strategy/eu-budget/long-term-eu-budget/eu-budget-2021-2027_en

- Horizon Europe

https://ec.europa.eu/info/horizon-europe_en

- HE Regulation

<https://www.consilium.europa.eu/media/45766/st11251-re01-en20.pdf>

Contact:

Office Address

*Turkey in Horizon 2020 Project
And Sokak 8/12 Akasya Apt. 06680 Çankaya/Ankara*

06520 Çankaya/Ankara,Turkey

Tel: +90 312 467 61 40

<http://www.turkeyinh2020.eu/>

info@TurkeyinH2020.eu



REPUBLIC OF TURKEY
MINISTRY OF INDUSTRY
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Teşekkür ederim!

Thank you!



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COMPETITIVE
SECTORS
PROGRAMME



TÜBİTAK