

Bu proje Avrupa Birliği ve Türkiye Cumhuriyeti tarafından finanse edilmektedir



Technical Assistance for Turkey in Horizon 2020 Phase-II
EuropeAid/139098/IH/SER/TR

Horizon Europe - <u>Session 1:</u> Getting to know Horizon Europe: EU research and innovation programme and projects

General Info Day #3

Adamantios Koumpis

Gaziantep, 16 November 2021

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TOPICS





INTRO: WHY HORIZON EUROPE?



PARTNERSHIPS



PILLARS



TYPES OF ACTION



MISSIONS



Q/A SESSION









INTRO: WHY HORIZON EUROPE?













OUR VISION



A sustainable, fair and **prosperous** future for **people** and **planet** based on European values.

Tackling climate change
 (35 % budgetary target)

Helping to achieve Sustainable Development Goals

Boosting the Union's competitiveness and growth











While benefiting from world–class research and strong industries...



Some key figures about Europe:

- → 7% of the world's population
- → 20% of global R&D
- → 1/3 of all high-quality scientific publications





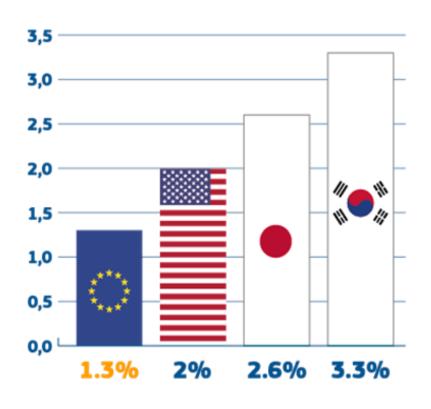






...Europe can do better at transforming this into leadership in innovation and entrepreneurship





Business R&D investment





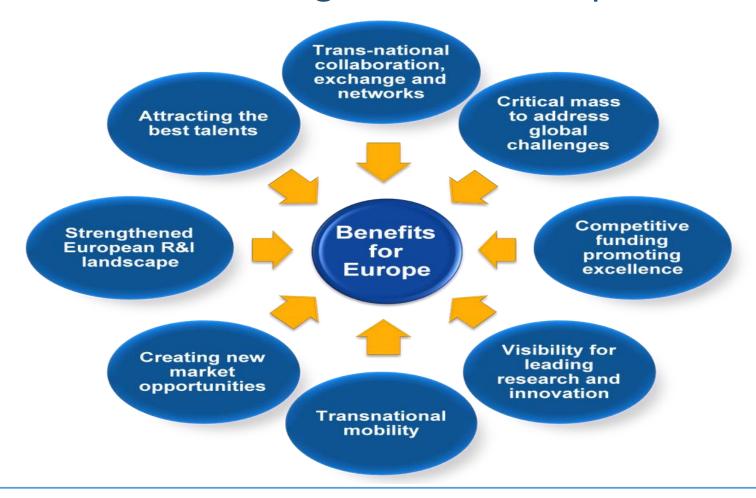




VALUE



Added value through Horizon Europe:











STRUCTURE





Pillar 1 **Excellent Science**

European Research Council

Marie Skłodowska-Curie **Actions**

Research Infrastructures



Pillar 2

Global Challenges and European Industrial Competitiveness

- Health
- Culture, Creativity and **Inclusive Society**

- Inclusive Society

 Civil Security for Society

 Digital, Industry and Space

 Climate, Energy and Mobility
 - Food, Bioeconomy, Natural Resources, Agriculture and **Environment**

Joint Research Centre



Pillar 3 **Innovative Europe**

European Innovation Council

European innovation ecosystems

European Institute of Innovation and Technology

Widening Participation and Strengthening the European Research Area

Widening participation and spreading excellence

Reforming and Enhancing the European R&I system









Competitive, fair and transparent, easy to access





A stable legal framework with simplified rules and procedures



Digital administration



A fair, transparent and objective evaluation of proposals



Data reporting, dissemination and exploitation for value creation



Outreach, guidance and support to participants



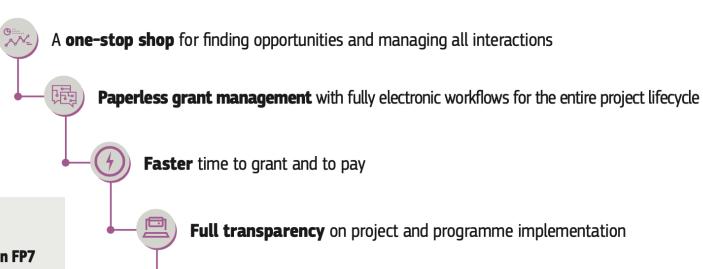






Digital transformation - modernising programme administration





Easy access to project results

Evolution in participation between FP7 and Horizon 2020:

More than **68%** of participants in Horizon 2020 are "newcomers".

*Source: Horizon Dashboard - September 2020

In numbers...





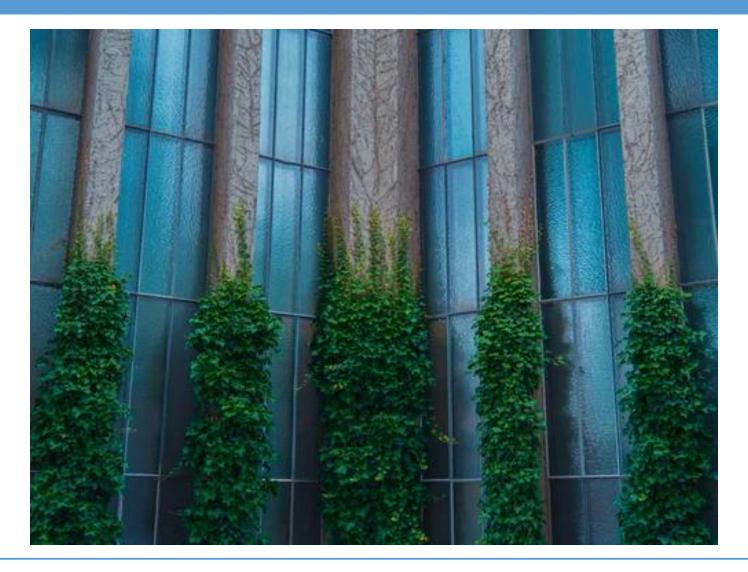






PILLARS













PILLAR 1



EXCELLENT SCIENCE:

reinforcing and extending the excellence of the Union's science base

European Research Council

Frontier
 research by the
 best researchers
 and their teams

Commission proposal: € 16.6 billion

Marie Skłodowska-Curie Actions

Equipping
 researches with
 new knowledge
 and skills through
 mobility and
 training

Commission proposal: € 6.8 billion

Research Infrastructures

 Integrated and interconnected worldclass research infrastructures

Commission proposal: € 2.4 billion







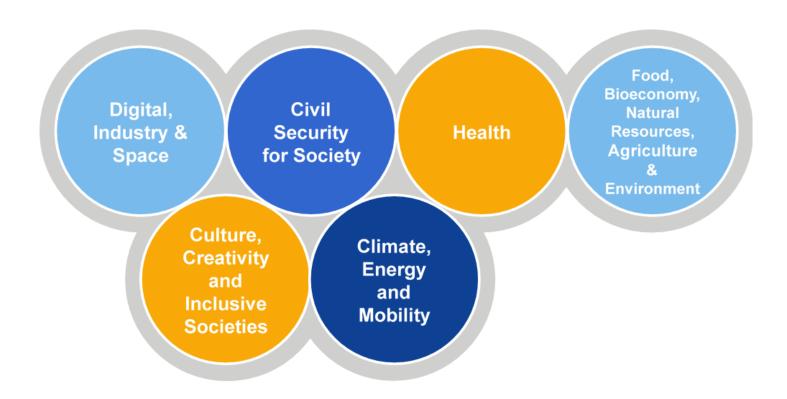


PILLAR 2 - CLUSTERS



Global Challenges & European Industrial Competitiveness:

boosting key technologies and solutions underpinning EU policies & Sustainable Development Goals











PILLAR 3



INNOVATIVE EUROPE:

stimulating market-creating breakthroughs and ecosystems conducive to innovation

European Innovation Council

Support to innovations with breakthrough and market creating potential

European Innovation Ecosystem

Connecting with regional and national innovation actors

European Institute of Innovation and Technology (EIT)

Bringing key actors
 (research, education
 and business)
 together around a
 common goal for
 nurturing innovation









European Research Area



Widening Participation and Strengthening the European Research Area:

Optimising strengths & potential for a more innovative Europe

Widening Participation and Spreading Excellence, e.g.

- Teaming & twinning
- ERA Chairs
- COST
- Support to NCPs
- Brain circulation and excellence initiatives
- "Hop-on"

Reforming and enhancing the European R&I system

- Scientific evidence & foresight
- Open Science
- Policy Support Facility
- Attractive researcher careers
- Citizen science, Responsible Research & Innovation
- Gender equality

Common understanding: At least 3.3 % of Horizon Europe budget

























R&I Missions

R&I Missions

Relating EU's research and innovation better to society and citizens' needs; with strong visibility and impact

A mission is a portfolio of actions across disciplines intended to achieve a bold and inspirational and measurable goal within a set timeframe, with impact for society and policy making as well as relevance for a significant part of the European population and wide range of European citizens.

Horizon Europe defines mission characteristics and elements of governance, and 5 missions areas.

Specific missions will be programmed within the Global Challenges and European Industrial Competitiveness pillar (drawing on inputs from other pillars)











Adaptation to climate change, including societal transformation



Healthy oceans, seas, coastal and inland waters



Mission areas



Cancer

Climate-neutral and smart cities





Soil health and food











Missions in HE legal text (Regulation)

Definitions in the FP Regulation

(5) 'mission' means a portfolio of excellence-based and impact-driven R&I actions across disciplines and sectors, intended to:

- achieve, within a set timeframe, a measurable goal that could not be achieved through individual actions
- have impact on society and policy-making through science and technology
- be relevant for a significant part of the European population and a wide range of European citizens;









CO-FUNDED EUROPEAN PARTNERSHIPS













European Partnerships





New approach to European partnerships: overview

New generation of objective-driven and more ambitious partnerships in support of agreed EU policy objectives

Key features:

- Simple architecture and toolbox
- Common set of criteria
- Coherent life-cycle approach
- Strategic orientation









European Partnerships



So -programmed

Based on
Memoranda of
Understanding /
contractual
arrangements;
implemented
independently by
the partners and
by Horizon Europe

Co-funded

Based on a joint programme agreed by partners; commitment of partners for financial and in-kind contributions & financial contribution by Horizon Europe

Institutionalised

Based on longterm dimension and need for high integration; partnerships based on Articles 185 / 187 of TFEU and the EIT-Regulation supported by Horizon Europe









European Partnerships



European Partnerships: what is new?

- Only support partnerships if there is evidence that they are more effectively achieving policy objectives than Horizon Europe alone
- Fewer partnerships with higher impacts
- Common and coherent framework of criteria along the life cycle of partnerships, across all pillars, even across programmes and other regulations (e.g. EIT, DEP, space)
- Unified umbrella branding to improve visibility
- Increase openness and encourage a broader set of actors to participate
- Improve coherence between partnerships and Horizon Europe, also the missions
- Time limited with conditions for phasing-out the Programme funding









Preparation: step-by-step



Preparation: step-by-step

- At the core of the preparation: National research funders/governmental research organisations and national ministries • Core tasks:
 - further elaboration of the partnerships proposal (in close collaboration/co-creation with COM services)

<u>∧</u> necessary to agree on the objectives, expected impacts, compliance with partnership criteria etc.

- preparation of the Strategic Research and Innovation Agenda, and the process that will allow to identify the topics in work plans.
- On that basis: preparation of the Horizon Europe call text for a programme co-fund action implementing the European Partnership, subject to comitology and COM decision adopting the WP.
- Consortium of research funders prepares and submits the proposal for a cofund action (including the draft annual work plan year 1), subsequent, evaluation, Grant Agreement preparation
- Launch of the European Partnership, preparation and launch of activities, including e.g. calls for proposals
- Annual work plans subject to COM approval.









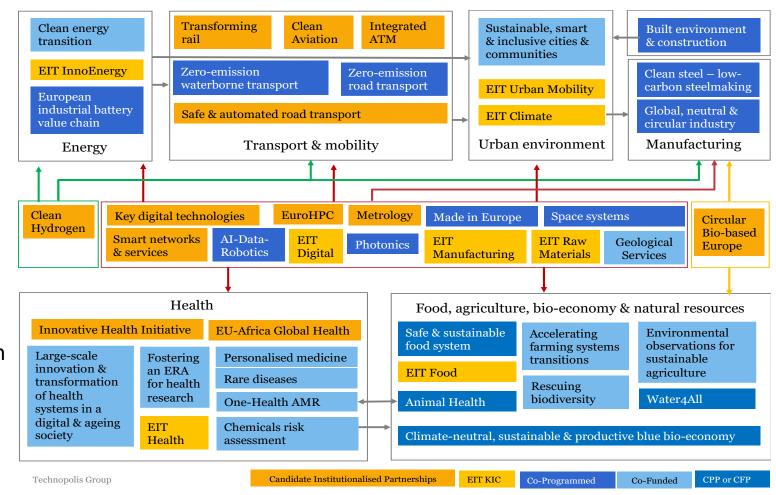
Portfolio of candidates for European Partnerships



Industryorientated 'vertical' partnerships

'Horizontal' partnerships

Vertical partnerships in the societal application areas











TYPES OF ACTION













Types of Action





<u>Direct actions:</u> research and innovation activities undertaken by the Commission through its Joint Research Centre (JRC)



<u>Indirect actions:</u> research and innovation activities to which the Union provides financial support, and which are undertaken by participants









Types of Action



- Research and innovation
- Innovation
- Innovation and market deployment
- ERC frontier research
- Training and mobility
- Programme co-fund

- Pre-commercial procurement
- Public procurement of innovative solutions
- Coordination and support
- Inducement prize
- Recognition prize









Research and innovation





Action primarily consisting of activities aiming to establish **new knowledge** and/or to **explore the feasibility** of a new or improved technology, product, process, service or solution. This may include basic and applied research, technology development and integration, testing and validation on a small-scale prototype in a laboratory or simulated environment







Innovation





Action primarily consisting of activities directly aimed at producing plans and arrangements or designs for new, altered or improved products, processes or services, possibly including prototyping, testing, demonstrating, piloting, large-scale product validation and market replication.







Innovation and market deployment





Actions embedding an innovation action and other activities necessary to deploy an innovation in the market, including the scaling-up of companies, providing Horizon Europe blended finance (a mix of granttype funding and private finance)









ERC frontier research





Principal investigator-led research actions, hosted by single or multiple beneficiaries (ERC only)







Training and mobility





Action geared towards improvement of skills, knowledge and career prospects of researchers based on mobility between countries, and, if relevant, between sectors or disciplines.

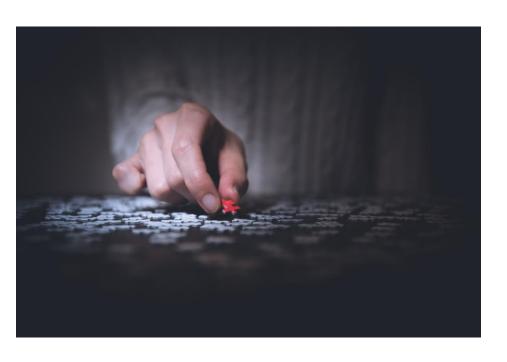






Programme co-fund





Action to provide co-funding to a programme of activities established and/or implemented by entities managing and/or funding research and innovation programmes, other than Union funding bodies. Such a programme of activities may support actions directly implemented by those entities or by third parties to whom they may provide any relevant financial support such as grants, prizes, procurement, as well as Horizon Europe blended finance.



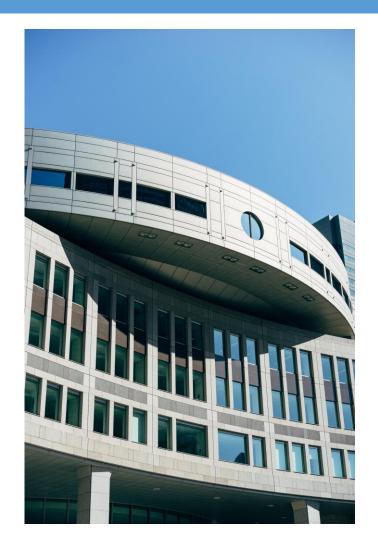






Public procurement





Pre-commercial procurement: Action with the primary aim of realizing pre-commercial procurement implemented by beneficiaries that are contracting authorities or contracting entities.

Public procurement of innovative solution:
Action with the primary aim of realising
joint or coordinated public procurement of
innovative solutions implemented by
beneficiaries that are contracting
authorities or contracting entities.









Coordination and support



Action contributing towards the objectives of the Programme, excluding research and innovation activities, such as standardisation, dissemination, awareness-raising and communication, networking, coordination or support services, policy dialogues and mutual learning exercises and studies









Prizes





Inducement prize: prize to spur investment in a given direction, by specifying a target prior to the performance of the work

Recognition prize: Prize to reward past achievements and outstanding work after it has been performed









Q/A SESSION











