

Bu proje Avrupa Birliği ve Türkiye Cumhuriyeti tarafından finanse edilmektedir This project is co-funded by the European Union and the Republic of Türkiye



Technical Assistance for Turkey in Horizon 2020 Phase-II EuropeAid/139098/IH/SER/TR

## **General Info Day #8**

Dimitrios Papageorgiou Istanbul, 11 October 2022

# Horizon Europe - Session 3: Success stories, failure stories







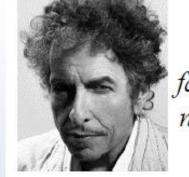
## Some thoughts about success & failure



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- Being successful doesn't mean to be lucky
- Good luck is welcome but does not depend on us
- There are *lots of things to learn from failure*
- When we succeed, there is <u>not much to learn</u>: success cannot be replicated – at least easily – it creates though <u>winner's psychology</u>
- Success that lies upon a legacy of failures (lessons learnt) endures longer than success due to good luck



'There's no success like failure ... failure's no success at all.' — Bob Dylan

'I have not failed. I've just found 10,000 ways that won't work.'



Thomas Edison







## The role of state funding in R&I success



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'In the US, the onset of the biotech, nanotech and internet revolutions saw massive amounts of **state funding**. The radical technologies behind the iPhone, from GPS and touch screen display to the new voice-activated assistant application Siri, were all backed by the government.'

Mariana Mazzucato, 'Hidden keys to Europe's R&D 18/03/2013

'Without specific targets and timing, it will not be possible to determine success (or failure), or measure progress towards success'

Mariana Mazzucato, 'Mission-Oriented Research & Innovation in the EU' 2018







# Success in the context of EU projects



**RIZON 2020** 

Can be defined based on:

- The number of projects you win and the amount of EU-funding secured
- The actual value / impact created within your organisation, the economy & society

### This means that you have to:

- Be part of successful proposal schemes
- Be part of successful projects

### Which means that you have to:

- Either lead these proposals on your own
- Or be invited in other successful proposals led by a third party







### The Pareto principle in proposal writing & project implementation





- 20% of the partners and/or people involve *actually* wrote the 80% of the proposal and ...
- About 80% of people involved shall *claim* that they wrote and won the proposal
- Similarly 80% of the project success may be driven by the 20% of the consortium

- There are ways to change this:
- ✓ Select partners carefully
- ✓ Define clear roles/ responsibilities
- ✓ Quantify expectations and timeline
- ✓ Apply suitable governance and project management schemes







## The essence of EU projects is all about ...



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## **COLLABORATION**

### Positive sum and repeated game







More money is available in HE and there are plenty of opportunities but...



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- The competition is now fiercer than ever
- Failure rates are high same high is a risk aversion attitude among participants
- All in all: the timing for entering the field is both good and bad







## So ... what is to be done?



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- Celebrate the many opportunities?
- Mourn for the low success rate?
- Do both?
- Do nothing?







## Some findings





- Increase in the complexity of the funding instruments and the need for acquiring a wide understanding of cross-cutting issues (related to impacts, research priorities in connection to regional, national and European policy aspects)
- Researchers face increased pressure to successfully lead or participate in projects
- Research managers and systematic approaches to research management are increasingly being adopted (Research managers are essential to a healthy research culture', Nature, 07/07/2021)

nature				
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nature > editorials > article

EDITORIAL | 07 July 2021

## Research managers are essential to a healthy research culture

But for maximal benefit, more of these academic administrators need to get involved in the scholarly aspect of research.







## **Proposals evaluation:**



### Evaluation Summary Reports (ESR)

N. Prop	Bu proje Avrupa Birliği ve Türkiye Cumhuriyeti tarafından finanse edilmektedir This project is co-funded by the European Union and the Republic of Türkiye	****		Evaluation Forr	Evaluation
N. Prop	TURKEY <sub>II</sub>	****			
N. Prop		○★○			Summary Report
	oser name	Country	Total Cost		Grant % quested
Evaluation Summary Report					
Evaluation Result					
				L	N.
dology, including the Score: <u>3.00</u> (Thread on of the gender di The following aspe and management o programme: - Quality and effect and the resources	eshold: 3/5.00 , Weight: -) cts will be taken into account, to th iveness of the work plan, assessm overall.	he extent that th nent of risks, an	d appropriateness o	of the effort assig	gned to work packages,
e v n e v ti e e				ie kinige iegenie	
ve the expected outcomes and impacts sp from the project. res to maximise expected outcomes and i	pecified in the work programme, and the	likely scale			
	Criterion 3 - Quality dology, including th ion of the gender di The following aspe- and management c programme: - Quality and effect and the resources - Capacity and role expertise. Weight: -) into account, to the extent that the propose s from the project.	Evaluation Result         Weight: -)         into account, to the extent that the proposed work corresponds to the description         ct's objectives, and         dology, including th         Score:       3.00 (Threshold: 3/5.00, Weight: -)         ion of the gender di         The following aspects will be taken into account, to the and management coprogramme:         - Quality and effectiveness of the work plan, assessment and the resources overall.         - Capacity and role of each participant, and the exten expertise.         Weight: -)         into account, to the extent that the proposed work corresponds to the description expertise.         weight: -)         into account, to the extent that the proposed work corresponds to the description expertise.         weight: -)         into account, to the extent dut the proposed work corresponds to the description expertise.         weight: -)         into account, to the extent dut the proposed work corresponds to the description expertise.         weight: -)         into account, to the extent dut the proposed work corresponds to the description expertise.         weight: expected outcomes and impacts specified in the work programme, and the strest to maximise expected outcomes and impacts, as set out in the dissemination	Evaluation Result         Weight: -)         into account, to the extent that the proposed work corresponds to the description in the work         Criterion 3 - Quality and efficiency of the implementation         dology, including th         Score: 3.00 (Threshold: 3/5.00, Weight: -)         ion of the gender dii The following aspects will be taken into account, to the extent that the and management o programme:         - Quality and effectiveness of the work plan, assessment of risks, and and the resources overall.         - Capacity and role of each participant, and the extent to which the construction         Weight: -)         Into account, to the extent that the proposed work corresponds to the description in the work programme, and the likely scale s from the project.         ares to maximise expected outcomes and impacts specified in the work programme, and the likely scale	Evaluation Result         Weight: -)         into account, to the extent that the proposed work corresponds to the description in the work         criterion 3 - Quality and efficiency of the implementation         dology, including th         Score: 3.00         The following aspects will be taken into account, to the extent that the proposed work corresponds to the description in the work programme:         - Quality and effectiveness of the work plan, assessment of risks, and appropriateness of and the resources overall.         - Capacity and role of each participant, and the extent to which the consortium as a who expertise.         Weight: -)       into account, to the extent that the proposed work corresponds to the description in the work         weight: -)         into account, to the extent that the proposed work corresponds to the description in the work expertise.         weight: -)         into account, to the extent that the proposed work corresponds to the description in the work expertise.         weight: -)         into account, to the extent that the proposed work corresponds to the description in the work expertise.         weight: -)         into account, to the extent that the proposed work corresponds to the description in the work expertise.         weight: -)         <	Evaluation Result         Weight: -)         into account, to the extent that the proposed work corresponds to the description in the work         ct's objectives, and         dology, including th and management c         Score:       3.00 (Threshold: 3/5.00, Weight: -)         ion of the gender di       The following aspects will be taken into account, to the extent that the proposed work corresponds to the and management c         Ouality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assign and the resources overall.         - Capacity and role of each participant, and the extent to which the consortium as a whole brings togethe expertise.         Weight: -)         weight: -)         weight: -)         into account, to the extent that the proposed work corresponds to the description in the work expertise.         weight: -)         into account, to the extent that the proposed work corresponds to the description in the work project.         weight: -)         into account, to the extent that the proposed work corresponds to the description in the work project.         into account, to the extent that the proposed work corresponds to the description in the work project.         into account, to the extent ductomes and impacts specified in the work programme, and the likely scale is from the project.         ines to maximise expected outcomes and impacts, as set out in the dissemination and







## **Evaluation results: Rough interpretation**



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Evaluation criterion Proposal	Excellence	Impact	Implementation
Proposal 1: Strong technical part - Impact 'neglected'	4.5-5.0	3.0-3.5	4.0-4.5
Proposal 2: Good but indifferent	3.0-3.5	3.0-4.0	3.0-4.0
Proposal 3: Strong proposal writing skills but relatively weak S&T competence	3.5	4.5	4.0
Proposal 4: Clearly weak proposal	<3.5	<3.5	<3.0
Proposal 5: Clear winning signal	4.5-5.0	4.5-5.0	4.5-5.0







## A Call of 2016

AL	all of 2010		C*	***
	Proposal Evaluation Form		Bu proje Avrupa Birliği tarafından finar	
Personal Action	EUROPEAN COMMISSION Horizon 2020 - Research and Innovation Framework Programme	Evaluation Summary Report - Coordination and support actions	•	d by the Europe iblic of Türkiye UURKEYin RIZON 2020
Call: Funding scheme: Proposal number: Proposal acronym: Duradon (months):	H2020-DS-SC7-2018 IA 740723 CS-AWARE 36		Evaluatio	n Result

A cyberseourity situational awareness and information sharing solution for local public administrations based on

upa Birliği ve Türkiye Cumhuriyeti indan finanse edilmektedir s co-funded by the European Union d the Republic of Türkiye



Evaluation Summary Report

#### Duration (months):

Proposal title: Activity:

advanced big data analysis

DS-02-2016

N.	Proposer name	Country	Total Cost	%	Grant Requested	%
1	OULUN YLIOPISTO	FI	551,250	11.88%	661,260	14.78%
2	UNIVERSITAT WIEN	AT	364,375	7.84%	364,375	9.77%
3	UNIVERSITAT PASSAU	DE	380,000	8.17%	380,000	10.19%
4	Cario Research Ltd	UK	335,000	7.21%	234,600	6.29%
6	3RDPLACE SRL	IT	430,000	9.25%	301,000	8.07%
6	DeehSoft ApS	DK	489,050	10.52%	342,335	9.18%
7	PERACTON LIMITED	IE	607,812.6	10.92%	365,468.76	9.63%
8	Innovative Secure Technologies P.C.	EL	350,250	7.63%	245,175	6.68%
9	ANDRIESSEN JEFFREY ELBERTUS BARTHOLOMEUS	NL	356,250	7.66%	249,375	6.69%
10	ANCITEL SPA	IT	346,250	7.45%	242,376	6.60%
11	Open Technology Services S.A.	EL	251,250	5.41%	175,875	4.72%
12	ROMA CAPITALE	IT	171,876	3.70%	171,876	4.61%
13	Municipality of Larissa	EL	115,000	2.47%	115,000	3.08%
	Total:		4,648,362.5	1	3,728,603.76	

#### Abetract

Cyberseourity is one of today's most challenging security problems for commercial companies, NGOs, governmental institutions as well as individuals. Reaching beyond the technology focused boundaries of classical information technology (IT) security, cybersecurity includes organizational and behavioural aspects of IT systems and also needs to comply to the currently actively developing legal and regulatory framework for cyberseourity. For example, the European Union recently passed the Network and Information Security (NIS) directive that obliges member states to get in line with the EU strategy. While large corporations might have the resources to follow those developments and bring their IT infrastructure and services in line with the requirements, the burden for smaller organizations like local public administration will be substantial and the required resources might not be available. New and innovative solutions that will help local public administration to ease the burden of being in line with oybersecurity requirements are needed. For example, occeperation and ocordination is one of the major aspects of the NIS and EU oybersecurity strategy. An enabling technology for cooperation and coordination is oyber situational awareness and information sharing of oyber incidents. In this project we propose a cybersecurity situational awareness solution for local public administrations that, based on an analysis of the context provides automatic incident detection and visualization, and enables information exchange with relevant national and EU level NIS authorities like CERTs. Advanced features like system self-healing based on the situational awareness technologies, and multi-lingual semantics support to account for language barriers in the EU context, are part of the solution.

#### Total score: 14.00 (Threshold: 10)

#### Form Information

SCORING

Scores must be in the range 0-5.

#### Interpretation of the score:

- 0- The proposal falls to address the criterion or cannot be assessed due to missing or incomplete information.
- Poor. The criterion is inadequately addressed, or there are serious inherent weaknesses.
- 2- Fair. The proposal broadly addresses the criterion, but there are significant weaknesses.
- 3- GOOD. The proposal addresses the criterion well, but a number of shortcomings are present.
- 4- Very good. The proposal addresses the criterion very well, but a small number of shortcomings are present.
- 5- Excellent. The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

#### Criterion 1 - Excellence

Score: 5.00 (Threshold: 3/5.00, Weight: -)

The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the







#### Score: 4.50 (Threshold: 3/5.00 , Weight: -)

The following aspects will be taken into account: The extent to which the outputs of the project would contribute to each of the expected impacts mentioned in the work programme under the relevant topic

The proposed work has potential for significant impact in all three areas listed in the work programme, and this impact is clearly justified in the proposal.

Any substantial impacts not mentioned in the work programme, that would enhance innovation capacity, create new market opportunities, strengthen competitiveness and growth of companies, address issues related to climate change or the

environm

The propo of cyber in to have be cybersecu Criterion 3 - Quality and efficiency of the Implementation

Score: 4.50 (Threshold: 3/5.00 , Weight: -)

The following aspects will be taken into account: Quality and effectiveness of the work plan, including extent to which the resources assigned to work packages are in line with their objectives and deliverables

In general the work plan is clear, balanced and coherent, and the structure of work packages is appropriate. The proposal does not clearly explain why the development of the core elements in tasks T3.1 to T3.7 is finished before the requirements analysis is finished. Resources are appropriately allocated.

Appropriateness of the management structures and procedures, including risk and innovation management

Management structure and procedures are appropriate, efficient and well described. A clear strategy for the communication within the consortium is identified. Innovation management is adequately addressed. A risk analysis is provided, with appropriate mitigation actions. However, technical risks are not analysed in sufficient detail.







## A year earlier ...

	Proposal Evaluation Form		or Iq
	EUROPEAN COMMISSION	Eval	uation
Corresson	Horizon 2020 - Research and Innovation Framework Programme		y Report - rch and
		actions/l	vation nnovation tions
Call: Funding scheme: Proposal number: Proposal acronym: Duration (months):	H2020-DS-2015-1 Innovation action 700054 PRESTO 36		Evaluation
Proposal title: Activity:	Innovative Methods and Risk Assessment Procedures and Techniques f DS-04-2015	for Big Data Se	Total scor
N.	Proposer name Country Total Cost	% R	

N.	Proposer name	Country	Total Cost	<sup>%</sup> R
1	UNIVERSITAT PASSAU	DE	510,000	13.49%
2	OULUN YLIOPISTO	FI	550,000	14.55%
3	Caris Research Ltd	UK	373,125	9.87%
4	UNIVERSITY OF MACEDONIA	EL	268,750	7.11%
5	PERACTON LIMITED	IE	568,438	15.03%
6	3RDPLACE SRL	п	572,500	15.14%
7	CoreTech Innovations LTD	MT	323,750	8.56%
8	ISIS FORENSICS LTD	UK	337,925	8.94%
9	EFSTRATIOS ARAMPATZIS MONOPROSOPI EPE	EL	276,750	7.32%
	Total:		3,781,238	

#### Abstract:

RESTO is an Innovation Action that builds on three mature technologies each developed by SME partners in Ireland, Italy deploy robust security technology assessment techniques based upon interdisciplinary research and frequent feedback fi SME, telecom and e-government use cases of this project. In the project we build an independent security and risk audit I that will: I dentify potential threats and weaknesses in the targeted clouds - Analyse threats in highly distributed cloud-op. systems in a remote manner; Analyse results and perform advanced cyber security analytics over the retrieved data - Pr personalized report over findings and, finally, advise them on countermeasures. PRESTO goes beyond existing state of t practices, as it includes and also scans for human-based vulnerabilities such as susceptibilities to social engineering atta introduced in the project is that we describe systems and services by using not only their technical specifications but also the behavioural characteristics of their users. PRESTO produces a robust cloud computing security risk assessment and framework that offers a scalable set of highly customizable services that analyse - in an automated and vendor-independrisks concerning the cloud computing service from a user perspective. PRESTO reports the security threat the particular suggestions on how to mitigate them. Users range from end-users (SaaS) over developers (PaaS) to admins (IaaS). Last proposes a standardized five level warning system for cloud threats that are integrated within the offered software solution



#### e Avrupa Birliği ve Türkiye Cumhuriyeti tarafından finanse edilmektedir ject is co-funded by the European Union and the Republic of Türkiye

**TURKEY**in HORIZON 2020

#### Evaluation Summary Report

#### e: 9.00 (Threshold: 10)

#### Form information

#### SCORING

#### Scores must be in the range 0-5.

#### Interpretation of the score:

- 0- The proposal fails to address the criterion or cannot be assessed due to missing or incomplete information.
- Poor. The criterion is inadequately addressed, or there are serious inherent weaknesses.
- 2– Fair. The proposal broadly addresses the criterion, but there are significant weaknesses.
- 3- Good. The proposal addresses the criterion well, but a number of shortcomings are present.
- 4- Very good. The proposal addresses the criterion very well, but a small number of shortcomings are present.
- 5- Excellent. The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

#### Criterion 1 - Excellence

#### Score: 2.50 (Threshold: 3/5.00, Weight: 100.00%)

Note: The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the work programme. If a proposal is partly out of scope, this must be reflected in the scoring, and explained in the comments.

#### Criterion 2 - Impact

#### Score: 3.50 (Threshold: 3/5.00, Weight: 100.00%)

Note: The following aspects will be taken into account, to the extent to which the outputs of the project should contribute at the European and/or International level:

The expected impacts listed in the work programme under the relevant tonic

#### Criterion 3 - Quality and efficiency of the implementation

The proposal identifies the relevant expected impacts listed in the work prograthe claim for this achievement is not sufficiently substantiated.

Enhancing innovation capacity and integration of new knowledge

The proposal is clear as to how it will enhance innovation and the integration of

#### Strengthening the competitiveness and growth of companies by develo global markets and where relevant, by delivering such innovations to th

The proposed project will strengthen the competitiveness of the consortium pa the arowth of companies outside of the consortium.

Any other environmental and socially important impacts

No relevant environmental and socially important elements are explicitly addre

Effectiveness of the proposed measures to exploit and disseminate the communicate the project, and to manage research data where relevant

The proposed measures to disseminate and communicate the results of the pr The commercialisation and exploitation of the project's results are well describ the individual exploitation interests for the consortium members.

IPR management will be addressed in the Consortium Agreement. The propose resources is a positive element.



Score: 3.00 (Threshold: 3/5.00, Weight: 100.00%)

Note: The following aspects will be taken into account:

Coherence and effectiveness of the work plan, including appropriateness of the allocation of tasks and resources

The work plan is clear, coherent and effective. The individual work packages and associated tasks are appropriately detailed. However, there are some inconsistencies in individual work packages, for example the name of WP7 appears differently in the work package description and the PERT chart (Figure 3.1.7)

The allocations of tasks and resources are adequate.

Complementarity of the participants within the consortium (when relevant)

The consortium is well balanced, has the required expertise and has good complementarity.

Appropriateness of the management structures and procedures, including risk and innovation management

The management structure is clearly described and is appropriate.

The management procedures are adequate. However, innovation management is not sufficiently described. Risk analysis, which focuses mainly on the phases of work, is adequate. However, the assessment of general project risks is not sufficiently elaborated.

Status: Yes

Comments (in case the proposal is out of scope)

Not provided

**Operational Capacity** 

Status: Operational Capacity: Yes







## What happened?





- There have been changes and improvements in all aspects:
  - ✓ the core idea
    ✓ the consortium
    ✓ the proposal
- Did they justify the change in the score from 9.00 to 14.00?







## What happened?



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- A more convincing story?
- A better consortium?
- A better alignment with the topic?

- A change in the evaluators' attitudes?
- Lobbying politics?
- Good luck?

## • All of the above? No one can tell for sure!







## Hope for the best...

### ... but you may get the worst

### Some times things go from bad to worse ...

	Proposal Evaluation Form	ent Ref. Ares(2018)3666687 - 10/07/2018
	EUROPEAN COMMISSION	Evaluation
Constant Constant	Horizon 2020 - Research and Innovation Framework Programme	Summary Report - Research and Innovation actions
Call: Funding scheme: Proposal number: Proposal acronym: Duration (months): Proposal title: Activity:	H2020-SC8-TRANSFORMATIONS-2018 RIA 822613 BEST 36 Blookohain-based Evidence-as-a-Service for Trust DT-TRANSFORMATIONS-02-2018	
2 ANDRIESSEN JE 3 UNIVERSITAT P	Proposer name         Country         Total Cost           GLI STUDI DI SALERNO         IT         656,260           EFFREY ELBERTUS BARTHOLOMEUS         NL         357,600           ASSAU         DE         256,250           DL CE ECONOMICS AND DOLITION         DE         256,250	Criant         %           18.60%         568,250         18.60%           11.89%         367,500         11.89%           8.62%         268,250         8.62%
4 SCIENCE 5 INSTITUT MINES		Evaluation Summary Report
6 POSTE ITALIAN 7 COMUNE DI PRA	E-S Development Develop	
8 INSIEL - INFORM LOCALI S.P.A. 9 INSTITUT NATIO 10 Private Institution	Total score: 10.50 (Threshold: 10)	
11 Gemeinde Neubu Total:	Form Information	
Abstract Our project will develop a oitizens in the field of Put publish (open) data that v	vil t	
the distributed ledger infr The infrastructure will alk	wt	
of publicly available data companies, but other PA as well on the distributed Administrations around th	Interpretation of the score:	
Administrations around the The EaaS will be piloted in be studied, with the task	inth 0- The proposal falls to address the criter	1011 or cannot be assessed due to missing or incomplete informati
the effect on the social st expandable blockshain-b	ake 1- POOL The criterion is inadequately address	ssed, or there are serious inherent weaknesses.
PAs and (3) good practic	2- Fair. The proposal broadly addresses the	criterion, but there are significant weaknesses.
	3- GOOd. The proposal addresses the criteri	on well, but a number of shortcomings are present.
	4- Very good. The proposal addresses the	criterion very well, but a small number of shortcomings are present
	5- Excellent. The proposal successfully add	resses all relevant aspects of the criterion.Any shortcomings are n

#### Criterion 1 - Excellence

Score: 3.50 (Threshold: 3/5.00, Weight: -)







#### Criterion 2 - Impact

#### Score: 3.50 (Threshold: 3/5.00 , Weight: -)

#### The following aspects will be taken into account:

The extent to which the outputs of the project would contribute to each of the expected impacts mentioned in the work programme under the relevant topic

The proposed objectives contribute well overall to the work programme impacts.

The outputs show significant potential to build confidence in government services and public auditabilit, complex supply chains and the introduction of a shared open data infrastructure. However, some impa detail.

Barriers are clearly identified and the use of an incubator can help in the adoption of the proposal's tec

Any substantial impacts not mentioned in the work programme, that would enhance innovatio opportunities, strengthen competitiveness and growth of companies, address issues related : environment, or bring other important benefits for society

The proposal does not demonstrate any substantial impacts not mentioned in the work programme.

#### Quality of the proposed measures to:

- exploit and disseminate the project results (including management of IPR), and to manage recommunicate the project activities to different target audiences
- The construction of a 'guerilla marketing' team will contribute to selecting the right partners for actual in However, the commercial exploitation of the project results is not effectively described.

The availability of APIs as part of the technical architecture though not entirely novel, shows promising

#### 22613/BEST-10/07/2018-14:45:34





#### Criterion 3 - Quality and efficiency of the Implementation

#### Score: 3.50 (Threshold: 3/5.00 , Weight: -)

#### The following aspects will be taken into account:

Quality and effectiveness of the work plan, including extent to which the resources assigned to work packages are in line with their objectives and deliverables

The work plan reflects well the overall objectives and outputs achievable showing the interaction between governance, data as evidence, design and participatory approaches, blockchain, deployment, outreach to users. The identified tasks are clearly mapped to the identified objectives.

The distribution of deliverables and tasks across the project lifecycle is largely well thought through. Nevertheless, an overly long interval between some milestones limits effective tracking.

#### Appropriateness of the management structures and procedures, including risk and innovation management

The project management structures, decision making boards, approach to collaboration and procedures for tracking are sound for this kind of project.

#### The innovation management approach is appropriate.

On the other hand, the risk management approach has a few shortcomings. For example, technological risks of blockchain lack explicit analysis, and the approach to mitigation is insufficiently proactive.

#### Complementarity of the participants and extent to which the consortium as a whole brings together the necessary expertise

The project shows a high degree of complementarity of academic, SME, other private companies and public sector partners, as well as it demonstrates good geographical distribution.

Many partners have extensive experience in EU projects and software development, which is positive.

The interdisciplinarity and cross-sector skills are highly relevant to successfully implement the objectives of the project, but with some reservation about the small number of industrial partners and insufficient evidence of credentials in blockchain innovation.

#### Appropriateness of the allocation of tasks, ensuring that all participants have a valid role and adequate resources in the project to fulfil that role

While all partners make a substantial contribution to achieve the goals, having one partner leading a whole WP, including all the tasks and deliverables, shows imbalance in the key responsibilities between the partners. This is a substantial shortcoming, because reliance on a single partner for a WP shows the misallocation of expertise, which jeopardises the whole execution.

Resources are adequate to implement the actions, and cost breakdown is well justified.

## Here comes the

	Proposal Ev	aluation	Form	ment Ref. Ares(2018)5990318 - 22/11/201
	EUROPEAN COMMISSION			Evaluation
0	Horizon 2020 - Research and Innovation Fr	amework Progran	nme	Summary Report -
Rangekan Cerwinitokon				Research and Innovation
				actions/innovation
				Abstract
Call: Type of action:	H2020-SU-ICT-2018			ARGONAVIS offere a
Proposal number:	832747			
Proposal acronym:	ARGONAVIS			assessing, controlling
Duration (months): Proposal title:	36			production, gathering
Activity:	SU-ICT-01-2018 IA	nanaGment base	ON DIOCKONAIN	
		<b>0</b>	T1 0	immutable, traceable
N.	Proposer name	Country	Total Cost	and Information Syste
	GLI STUDI DI SALERNO	IT	671,000	
2 Wise & Munro 3 UNIVERSITAT P	ACCAU	NL DE	343,750 347,500	network traffic), analy
I ONDON SCHO	OL OF ECONOMICS AND POLITICAL			correlated, and analy
4 SCIENCE		UK	395,000	
6 INSTITUT MINE		FR	349,438.76	opordinated attacks.
	IVERZITA V CESKYCH BUDEJOVICICH	cz	170,750	and the standard behavior
<ol> <li>7 UNIVERSITAET</li> <li>8 Noosware B.V.</li> </ol>	ST. GALLEN	CH	483,187.5 388.125	permissioned blooks
	NS RESEARCH UK LTD	UK	261,875	Hence, sets of PoE g
10 COMUNE DI PR	ΑΤΟ	п	147,500	

CZ

FR

DE

CZ

IT

PT

PT

IE

357,650

5,465,776.25



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ARGONAVIS offers an innovative distributed platform to dynamically support human operators, with different skills and backgrounds, in assessing, controlling, and managing response and recovery actions to cybersecurity events. The platform boosts and fosters the dynamic , production, gathering and management of "Pieces of Evidence" (PoE) items for evidence-based security and privacy risk assessment. PoE are

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able, resilient, distributed, non-repudiable, open format, and machine-readable reports about the security and privacy of Networks systems (NIS). PoE are dynamically produced by running pluggable cyber-security mechanisms (e.g. detector of anomalous nalysing logs from devices (e.g. IoT devices), and systems (e.g. service-oriented systems). Several PoE are then linked, nalysed to generate further analysis, providing additional PoE to the system. Indeed, sets of PoE can be correlated to identify ks. Furthermore, countermeasures, responses to attacks are themselves PoE interlinked with other PoE. PoE are stored within a skohain that implements a distributed ledger that in turn supports the sharing of PoE among relevant parties (e.g. CSIRTs). E generated over time within an organisation form a valuable repository for forensic and attack investigations, since pieces of evidence that are stored within the blockchain cannot be tampered with. The ARGONAVIS eccevatem includes a blockchain / distributed ledger. 153,600 an authoring tool to create PoEs, a navigation tool, and smart contracts to produce new evidence when triggered. The project includes four pilots in 222,600 183,600 order to demonstrate the benefits of using blockshain for oyber-attack management based on Evidence-as-a-Service, and to provide empirical 165.876 ground to our conclusions at the end of the project. The pilots will be implemented in four public administration organizations from four different. 213,126 371,250 European countries. 240,250

#### Evaluation Summary Report

#### Evaluation Result

Total score: 6.50 (Threshold: 10)



MESTO PISEK

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EM LISBOA

Total

Gemeinde Neuburg a. Inn

Birdblook Ventures, Lda

Prutech Innovation Services Ltd.

MED(A)

SOCIETE D'ECONOMIE MIXTE ISSY - MEDIA (SEM ISSY

INSIEL - INFORMATICA PER IL SISTEMA DEGLI ENTI

INESC ID - INSTITUTO DE ENGENHARIADE SISTEMAS E

COMPUTADORES, INVESTIGAÇÃO E DESENVOLVIMENTO

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#### Criterion 1 - Excellence

#### Score: 2.50 (Threshold: 3/5.00 , Weight: -)

The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the work programme:

Clarity and pertinence of the objectives

Soundness of the concept, and credibility of the proposed methodology

Extent that proposed work is beyond the state of the art, and demonstrates innovation potential (e.g. ground-breaking objectives, novel concepts and approaches, new products, services or business and organisational models)

Appropriate consideration of interdisciplinary approaches and, where relevant, use of stakeholder knowledge and gender dimension in research and innovation content

The proposal targets sub-topic B.

The proposal presented the objectives in a clear manner. However, they were Furthermore, some of the objectives of the call text were not sufficiently detaile

Criterion 2 - Impact

The concept is not well described e.g. using a permission based blockchain st

The proposed methodology is credible however there are some weaknesses, t throughout the proposal and how they plan to implement attack-defence graph

Score: 2.50 (Threshold: 3/5.00 , Weight: -)

The following aspects will be taken into account:

The extent to which the outputs of the project would contribute to each of the expected impacts mentioned in the work programme under the relevant topic

Any substantial impacts not mentioned in the work programme, that would enhance innovation capacity, create new market opportunities, strengthen competitiveness and growth of companies, address issues related to climate change or the environment, or bring other important benefits for society

Quality of the proposed measures to:

- exploit and disseminate the project results (including management of IPR), and to manage research data where relevant

- communicate the project activities to different target audiences

There was no clear link between impact and the objectives and results.

Market analysis and identification of business opportunities are insufficiently addressed. Thus innovation capacity is unclear and the economic impact is not credible.

Criterion 3 - Quality and efficiency of the Implementation

#### Score: 1.50 (Threshold: 3/5.00 , Weight: -)

n activities not linked to appropriate

The following aspects will be taken into account:

Quality and effectiveness of the work plan, including extent to which the resources assigned to work packages are in line with

ic standardisation bodies)









Türkiye Cumhuriyeti edilmektedir y the European Union c of Türkiye

RKEYin ZON 2020

## Again the question: What happened?





- There have been changes and improvements in all aspects: the core idea, the consortium, the proposal
- But how did it ended up in a change of the score from 10.50 to 6.50?
- Hmmm...







## And a more recent example...



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**Evaluation Summary Report** 

#### **Evaluation Result**

Total score: 12.00 (Threshold: 10)

#### Form Information

#### SCORING

Scores must be in the range 0-5.

#### Interpretation of the score:

Criterion 1 - Excellence

- 0 The proposal falls to address the criterion or cannot be ass
- 1 Poor. The criterion is inadequately addressed, or there are ser
- 2 Fair. The proposal broadly addresses the criterion, but there a
- 3 Good. The proposal addresses the criterion well, but a numbe
- 4 Very good. The proposal addresses the criterion very well, bu
- 5 Excellent. The proposal successfully addresses all relevant as



#### Score: 4.50 (Threshold: 3/5.00, Weight: -)

2/09/2020-17:15:27

The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the work programme:

#### Clarity and pertinence of the objectives

#### Soundness of the concept, and credibility of the proposed methodology

Extent that proposed work is herend the state of the art and demonstrates innovation notantial (a.g. ground-breaking objectives







#### Criterion 2 - Impact

#### Score: 4.00 (Threshold: 3/5.00, Weight: -)

The following aspects will be taken into account:

The extent to which the outputs of the project would contribute to each of the expected impacts mentioned in the work programme under the relevant topic

Any substantial impacts not mentioned in the work programme, that would enhance innovation capacity, create new market opportunities, strengthen competitiveness and growth of companies, address issues related to climate change or the environment, or bring other important benefits for society

Quality of the proposed measures to:

- exploit and disseminate the project results (including management of IPR), and to manage research data where relevant - communicate the project activities to different target audiences

The proposal focuses on the Healthcare domain, and provides a useful analysis of the Surgical Robotics market. It addresses well the expected impact defined in the work programme, and related to the Call.

The proposal addresses well some additional impacts, in particular the development of new safety critical applications, possibility of growth for the EU companies, a redefinition of human robot interaction and innovations in VR-based training.

The management of IPR is well described.

Dissemination strategy is considered with a standard approach. However it is not adequately planned concerning the contribution to the common pool of knowledge.

Communication actions are not described in sufficient details, and left to be defined at the beginning of the project.

The exploitation strategy is based on the individual participants' exploitation plans, which are detailed and focused on the specific product

results. However, the proposed busines SMEs) to produce more and better heal

coming from the project results. The pro Criterion 3 - Quality and efficiency of the implementation

Score: 3.50 (Threshold: 3/5.00, Weight: -)

A solid innovation strategy necessary to The following aspects will be taken into account:

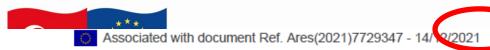
Quality and effectiveness of the work plan, including extent to which the resources assigned to work packages are in line with their objectives and deliverables

Appropriateness of the management structures and procedures, including risk and innovation management









	EUROPEAN COMMISSION				Evaluatio	n
	Horizon Europe Framework Programme (H	ORIZON)		Coc	nmary Re ordinatior pport act	n and
Call: Type of action: Proposal number: Proposal acronym: Duration (months):	HORIZON-CSA					
Proposal title:						
ctivity:						
N.	Proposer name	Country	Total Cost	%	Grant	%
	Proposer name	Country		% 57.15%	Requested	
			Total Cost 2,856,625 314,250			57.15
		IE	2,856,625	57.15%	2,856,625	57.15 6.29
		IE IE RO ES	2,856,625 314,250 105,000 296,250	57.15% 6.29% 2.10% 5.93%	Requested 2,856,625 314,250 105,000 296,250	57.15 6.29 2.10 5.93
		IE IE RO ES DE	2,856,625 314,250 105,000 296,250 378,375	57.15% 6.29% 2.10% 5.93% 7.57%	Requested 2,856,625 314,250 105,000 296,250 378,375	57.15 6.29 2.10 5.93 7.57
		IE IE RO ES DE ES	2,856,625 314,250 105,000 296,250 378,375 274,250	57.15% 6.29% 2.10% 5.93% 7.57% 5.49%	Requested 2,856,625 314,250 105,000 296,250 378,375 274,250	57.15 6.29 2.10 5.93 7.57 5.49
		IE IE RO ES DE ES AT	2,856,625 314,250 105,000 296,250 378,375 274,250 189,250	57.15% 6.29% 2.10% 5.93% 7.57% 5.49% 3.79%	Requested 2,856,625 314,250 105,000 296,250 378,375 274,250 189,250	57.15 6.29 2.10 5.93 7.57 5.49 3.79
INTERNATIONA		IE IE RO ES DE ES AT EL	2,856,625 314,250 105,000 296,250 378,375 274,250 189,250 329,750	57.15% 6.29% 2.10% 5.93% 7.57% 5.49% 3.79% 6.60%	Requested 2,856,625 314,250 105,000 296,250 378,375 274,250 189,250 329,750	57.15 6.29 2.10 5.93 7.57 5.49 3.79 6.60
INTERNATIONA		IE IE RO ES DE ES AT EL BE	2,856,625 314,250 105,000 296,250 378,375 274,250 189,250 329,750 83,000	57.15% 6.29% 2.10% 5.93% 7.57% 5.49% 3.79% 6.60% 1.66%	Requested           2,856,625           314,250           105,000           296,250           378,375           274,250           189,250           329,750           83,000	57.15° 6.29° 2.10° 5.93° 7.57° 5.49° 3.79° 6.60° 1.66°
INTERNATIONA		IE IE RO ES DE ES AT EL	2,856,625 314,250 105,000 296,250 378,375 274,250 189,250 329,750	57.15% 6.29% 2.10% 5.93% 7.57% 5.49% 3.79% 6.60%	Requested 2,856,625 314,250 105,000 296,250 378,375 274,250 189,250 329,750	57.15° 6.29° 2.10° 5.93° 7.57° 5.49° 3.79° 6.60° 1.66°
		IE IE RO ES DE ES AT EL BE	2,856,625 314,250 105,000 296,250 378,375 274,250 189,250 329,750 83,000	57.15% 6.29% 2.10% 5.93% 7.57% 5.49% 3.79% 6.60% 1.66%	Requested           2,856,625           314,250           105,000           296,250           378,375           274,250           189,250           329,750           83,000	% 57.15° 6.29° 2.10° 5.93° 7.57° 5.49° 3.79° 6.60° 1.66° 1.66° 1.66°

Abstract:









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#### **Evaluation Summary Report**

**Evaluation Result** 

Total score: 8.50 (Threshold: 10)

#### Criterion 1 - Excellence

Score: 2.50 (Threshold: 3/5.00, Weight: -)

#### Criterion 2 - Impact

Score: 2.50 (Threshold: 3/5.00, Weight: -)

Criterion 3 - Quality and efficiency of the implementation

Score: 3.50 (Threshold: 3/5.00, Weight: -)









Associated with document Ref. Ares(2022)1363564, 23/02/2022

### **Proposal Evaluation Form**

* * * * * * *	EUROPEAN COMMISSION Horizon Europe (HORIZON)			C	Evaluatio ummary Re oordinatior support act	port - n and
Call: Type of action: Proposal number: Proposal acronym: Duration (months): Proposal title: Activity:	HORIZON-CSA 30					
N.	Proposer name	Country	Total Cost	%	Grant Requested	%
1		PT	0		- 367,500	36.76%
2		EL	0		- 208,125	20.82%
3 INTERNATIONA	L DEVELOPMENT IRELAND LIMITED	IE	0		- 202,831.25	20.29%
4		ES	0		- 221,250	22.13%
Total:			0		999,706.25	

Abstract:







and the Republic of Türkiye



#### **Evaluation Summary Report**

#### **Evaluation Result**

Total score: 13.50 (Threshold: 10)

**Criterion 1 - Excellence** 

Score: 4.70 (Threshold: 3/5.00 , Weight: -)

**Criterion 2 - Impact** 

Score: 4.60 (Threshold: 3/5.00, Weight: -)

Criterion 3 - Quality and efficiency of the implementation

Score: 4.20 (Threshold: 3/5.00, Weight: -)







## Take away messages





- Both success and failure are part of the game
- One needs to learn from them, dedicating resources systematically
- This needs time and probably concessions in the personal / family life
- Your introduction to Horizon Europe in a General Information Day may be the start of a long journey!
- Learning by being taught is not enough it needs hands-on practice
- And above all: one needs to get networked at national and European level







## Take away messages: Build your own network!





- Get networked with peers and people with complementary profiles independently on your position within scientific, research or business domains
- Long-lasting relationships help a lot! Particularly in shaping concepts, sharing the risk of proposal preparation and enjoying working together
- But when building consortia, you probably need competences/ expertise outside your network. Finding and securing the right partners per project proposal is also essential!
- A team needs time to be built and effort to become and remain productive









Food for thought and Q&A

- What is your strategy and plan towards success?

- What have you learned form your failures?

- How much do you afford to keep failing?

- How well networked you are and you can become?









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## Teşekkür ederim!

## Thank you!









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#### Contact:

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